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NEWS
                 Web Page for STN Seminar Schedule - N. America
NEWS
      2 AUG 15 CAOLD to be discontinued on December 31, 2008
NEWS 3 OCT 07
                 EPFULL enhanced with full implementation of EPC2000
NEWS 4 OCT 07 Multiple databases enhanced for more flexible patent
                 number searching
NEWS 5 OCT 22
                 Current-awareness alert (SDI) setup and editing
                 enhanced
NEWS 6 OCT 22
                 WPIDS, WPINDEX, and WPIX enhanced with Canadian PCT
                 Applications
NEWS 7 OCT 24 CHEMLIST enhanced with intermediate list of
                 pre-registered REACH substances
NEWS 8 NOV 21 CAS patent coverage to include exemplified prophetic
                 substances identified in English-, French-, German-,
                 and Japanese-language basic patents from 2004-present
NEWS 9 NOV 26 MARPAT enhanced with FSORT command
NEWS 10 NOV 26 MEDLINE year-end processing temporarily halts
                 availability of new fully-indexed citations
NEWS 11 NOV 26 CHEMSAFE now available on STN Easy
NEWS 12 NOV 26 Two new SET commands increase convenience of STN
                 searching
NEWS 13 DEC 01 ChemPort single article sales feature unavailable
NEWS 14 DEC 12 GBFULL now offers single source for full-text
                 coverage of complete UK patent families
NEWS EXPRESS JUNE 27 08 CURRENT WINDOWS VERSION IS V8.3.
             AND CURRENT DISCOVER FILE IS DATED 23 JUNE 2008.
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PRAI: REN: TI

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SET COMMAND COMPLETED
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COST IN U.S. DOLLARS
                                                 SINCE FILE
                                                                TOTAL
                                                      ENTRY SESSION
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                                                       0.21
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FILE 'INPADOCDB' ENTERED AT 16:10:26 ON 12 DEC 2008
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=> s wo 1999/014635/pn
            1 WO 1999/014635/PN
L1
=> d 11 1 all
     ANSWER 1 OF 1
                       INPADOCDB COPYRIGHT 2008 EPO/FIZ KA on STN
AN
     14761138 INPADOCDB
FN
      7654376
ΤI
     METHOD OF AND APPARATUS FOR PROCESSING AN IMAGE SIGNAL.
TL
      English
      TILLETT, ROBIN, DEIRDRE, 6 CHURCH ROAD, FLITWICK, BEDFORDSHIRE MK45 1AE;
      MCFARLANE, NIGEL, JAMES, BRUCE, WREST PARK LODGE, SILSOE, BEDFORDSHIRE MK45
TNS
      TILLETT ROBIN DEIRDRE, GB; MCFARLANE NIGEL JAMES BRUCE, GB
PA
      NATIONAL RESEARCH DEVELOPMENT CORPORATION, 101 NEWINGTON CAUSEWAY, LONDON
      SE1 6BU
PAS
      NAT RES DEV, GB
DT
      Patent
                          A1 19901129
PT
     WO 9014635
PIT
     WOA1 INTERNATIONAL PUBLICATION WITH INTERNATIONAL SEARCH REPORT
FDT
      W0100000 With international search report;
      W0030000 Before expiration of time limit for amending the claims and to
      be republished in the event of the receipt of the amendments
DAV
     19901129 examined-printed-without-grant
STA
     PRE-GRANT PUBLICATION
DS
      W: AU CA JP US
RW (EPO): AT BE CH DE DK ES FR GB IT LU NL SE
     W:
      WO 1990-GB813 W 19900524 English
AΙ
AIT
    WOW International application Number
PRAI GB 1989-12226 A 19890526 (GBA)
PRAIT GBA Patent application
     4. THERE ARE 4 CITED REFERENCES (0 PATENT, 4 NON PATENT) AVAILABLE FOR
      THIS RECORD. ALL CITATIONS ARE AVAILABLE IN THE RE FORMAT.
ICM
     G06F015-68
TCT
     G06F015-68
                      ( )
IPCR G06T0007-00 [I,A]; G06T0005-00 [I,A]; G06T0007-40 [I,C*]; G06T0005-00 [I,C*]; G06T0007-40
                                                                     [I,A]
                                             [I,C*]; G06T0007-40
                                                                      [I,C*]
EPC
     G06T0005-00F; G06T0007-40
FA
     AI; AN; DAV; DS; DT; EPC; ICM; IN; INS; IPC; IPCR; LAF; PA; PAS; PI; PIT;
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=> s ep 1021750/pn
L2
            2 EP 1021750/PN
=> d 12 1-2 all
L2
     ANSWER 1 OF 2
                         INPADOCDB COPYRIGHT 2008 EPO/FIZ KA on STN
ΔM
     23713020 INPADOCDB
FN
     3787742
ΤТ
     FOTORESIST-ZUSAMMENSETZUNGEN DIE POLYZYKLISCHE POLYMERE MIT SA@URELABILEN
      GRUPPEN ENTHALTEN.
     PHOTORESIST COMPOSITIONS COMPRISING POLYCYCLIC POLYMERS WITH ACID LABILE
     PENDANT GROUPS
      COMPOSITIONS DE VERNIS PHOTOSENSIBLES RENFERMANT DES POLYMERES
      POLYCYCLIQUES AVEC DES GROUPES ACIDES LATERAUX LIBRES.
TL.
      German; English; French
TN
      GOODALL, BRIAN, L.; JAYARAMAN, SAIKUMAR; SHICK, ROBERT, A.; RHODES,
      LARRY, F.; ALLEN, ROBERT, DAVID; DI PIETRO, RICHARD, ANTHONY; WALLOW,
      THOMAS
INS
      GOODALL BRIAN L. US; JAYARAMAN SAIKUMAR, US; SHICK ROBERT A. US; RHODES
      LARRY F, US; ALLEN ROBERT DAVID, US; DI PIETRO RICHARD ANTHONY, US;
      WALLOW THOMAS, US
      THE B.F. GOODRICH COMPANY
PA
     GOODRICH CO B F, US
PAS
DT
     Patent
PΙ
     EP 1021750
                          Al 20000726 English
PIT
     EPA1 APPLICATION PUBLISHED WITH SEARCH REPORT
DAV
      20000726 examined-printed-without-grant
STA
    PRE-GRANT PUBLICATION
DS
                   AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE
     R:
     EP 1998-944729
AΙ
                         A 19980903
AIT
      EPA Patent application
PRAI WO 1998-US18353 W 19980903 (WOWW)
      US 1997-928900
                          A 19970912 (USA)
PRAIT WOWW Additional PCT application
      USA Patent application
REC
      1. THERE IS 1 CITED REFERENCE (0 PATENT, 1 NON PATENT) AVAILABLE FOR THIS
     RECORD. ALL CITATIONS ARE AVAILABLE IN THE RE FORMAT.
IC.V
ICM
     G03F007-039
IPCR C08F0002-46
                     [I,A]; C08F0032-00
                                             [I,A]; C08G0061-08
                                                                     [I,A];
      G03F0007-004
                     [N,A]; G03F0007-039
                                             [I,A]
     C08F0002-46
                     [I,C*]; C08F0032-00
                                             [I,C*]; C08G0061-00
                                                                      [I,C*];
                     [N,C*1; G03F0007-039
     G03F0007-004
                                             [I,C*]
EPC
     G03F0007-039
    S03F0007:004D
ICO
     AI; AN; DAV; DS; DT; EPC; ICM; ICO; IN; INS; IPC; IPCR; LA; PA; PAS; PI;
FA
     PIT; PRAI; REN; TI
    ANSWER 2 OF 2 CAPLUS COPYRIGHT 2008 ACS on STN
L2
    1999:220147 CAPLUS
AN
DN
     130:244458
ED
    Entered STN: 08 Apr 1999
     Photoresist composition comprising polycyclic polymer with acid-labile
     pendant groups
    Goodall, Brian L.; Jayaraman, Saikumar; Shick, Robert A.; Rhodes, Larry
TM
    F.; Allen, Robert David; Di Pietro, Richard Anthony; Wallow, Thomas
PA
    The B.F. Goodrich Company, USA
```

- SO PCT Int. Appl., 121 pp.
- CODEN: PIXXD2
- DT Patent
- LA English
- IC ICM G03F007-039
- CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

FAN.CNT 1

FAN.CNT 1 PATENT NO.		KIND DATE	APPLICATION NO.	DATE
PI WO 9914635 W: AL, IL, MN,	AM, AU, IS, JP, MW, MX,	A1 19990325 AZ, BA, BB, BG, E KE, KG, KR, KZ, I	WO 1998-US18353 BR, BY, CA, CN, CZ, EE LC, LK, LR, LS, LT, LV RU, SD, SG, SI, SK, SL	19980903 G, GE, HU, ID, MD, MG, MK,
RW: GH,	GM, KE, FR, GB,	LS, MW, SD, SZ, U	JG, ZW, AT, BE, CH, CY MC, NL, PT, SE, BF, BJ SN. TD, TG	
AU 9892199 AU 747516		A 19990405 B2 20020516	AU 1998-92199	19980903
EP 1021750 R: AT,		A1 20000726	EP 1998-944729 GB, GR, IT, LI, LU, NL	19980903 <
JP 20015168 RU 2199773 CN 1251021 TW 235285 HK 1030992 PRAI US 1997-928	04	T 20011002 C2 20030227 C 20060412 B 20050701 A1 20061110 A 19970912	CN 1998-808966 TW 1998-87115292	19980903 19980903 19980903 19981023 20010312
WO 1998-US1				
PATENT NO.	CLASS	PATENT FAMILY CLAS	SSIFICATION CODES	
WO 9914635	ICM IPCI IPCR	G03F007-039 G03F0007-039 [ICM, G03F0007-004 [I,C*] [I,C*]; C08F0002-4 C08F0032-00 [I,A]; [I,A]; G03C0001-72	[I,C*]; C08G0061-08	
AU 9892199	603F0007-039 [I,C*], 603F0007-039 [I,A]  ECLA G03F007/039; S03F  AU 9892199			; C08F0002-46
EP 1021750	C08F003Z-00 [I,A]; C08G0061-00 [I,C*]; C08G0061-08 [I,A]; G03C0001-72 [I,C*]; G03C0001-72 [I,A]; G03F0007-039 [I,C*]; G03F0007-039 [I,C			
	IPCR	[I,C*]; C08F0002-4 C08F0032-00 [I,A]; [I,A]; G03C0001-72 G03F0007-039 [I,C*	*]; G03F0007-004 [I,A] 46 [I,A]; C08F0032-00 ; C08G0061-00 [I,C*]; 2 [I,C*]; G03C0001-72 *]; G03F0007-039 [I,A]	[I,C*]; C08G0061-08 [I,A];
JP 2001516804		[ICS, 7]; C08G0061-	7]; C08F0002-46 [ICS, 7 -00 [ICS, 7, C*]; G03F00	07-039 [ICS,7]
	IPCR	[I,C*]; C08F0002-4 C08F0032-00 [I,A];	*]; G03F0007-004 [I,A] 46 [I,A]; C08F0032-00 ; C08G0061-00 [I,C*]; 2 [I,C*]; G03C0001-72	[I,C*]; C08G0061-08

RU	2199773	IPCI	G03F0007-039 [I,C*]; G03F0007-039 [I,A] G03F0007-004 [ICM]; G03C0001-72 [ICS]; C08G0061-08  ICS]: C08G0061-00   ICS.C*
		IPCR	G03F0007-004 [I,C*]; G03F0007-004 [I,A]; C08F0002-46
			[I,C*]; C08F0002-46 [I,A]; C08F0032-00 [I,C*];
			C08F0032-00 [I,A]; C08G0061-00 [I,C*]; C08G0061-08
			[I,A]; G03C0001-72 [I,C*]; G03C0001-72 [I,A];
			G03F0007-039 [I,C*]; G03F0007-039 [I,A]
			G03F007/039
CN	1251021	IPCI	G03F0007-004 [I,C*]; G03F0007-004 [I,A]; G03F0007-039
			[I,C]; G03F0007-039 [I,A]; G03C0001-72 [I,C*];
			G03C0001-72 [I,A]
		IPCR	G03F0007-004 [I,C*]; G03F0007-004 [I,A]; G03F0007-039
			[I,C]; G03F0007-039 [I,A]; C08F0002-46 [I,C*];
			C08F0002-46 [I,A]; C08F0032-00 [I,C*]; C08F0032-00
			[I,A]; C08G0061-00 [I,C*]; C08G0061-08 [I,A];
			G03C0001-72 [I,C*]; G03C0001-72 [I,A]
		ECLA	G03F007/039; S03F
TW	235285		G03F0007-004 [ICS,7]
		IPCR	G03F0007-004 [I,C*]; G03F0007-004 [I,A]; C08F0002-46
			[I,C*]; C08F0002-46 [I,A]; C08F0032-00 [I,C*];
			C08F0032-00 [I,A]; C08G0061-00 [I,C*]; C08G0061-08
			[I,A]; G03C0001-72 [I,C*]; G03C0001-72 [I,A];
			G03F0007-039 [I,C*]; G03F0007-039 [I,A]
HK	1030992	IPCR	G03F [I,S]; G03F0007-004 [I,C*]; G03F0007-004 [I,A];
			C08F0002-46 [I,C*]; C08F0002-46 [I,A]; C08F0032-00
			[I,C*]; C08F0032-00 [I,A]; C08G0061-00 [I,C*];
			C08G0061-08 [I,A]; G03C0001-72 [I,C*]; G03C0001-72
			[I,A]; G03F0007-039 [I,C*]; G03F0007-039 [I,A]
			G03F007/039
AB	The present	inventi	on relates to a photoresist composition comprising a

photoacid generator and a polycyclic polymer comprising repeating units that contain acid-labile pendant groups. Upon exposure to an imaging radiation source the photoacid generator generates an acid which cleaves the acid-labile pendant groups effecting a polarity change in the polymer. The polymer is rendered soluble in an aqueous base in the areas exposed to the imaging source.

ST photoresist polycyclic polymer acid labile group

Photoresists

TT

(containing polycyclic polymers with acid-labile pendant groups and photoacid generators)

IT 139301-16-9

RL: TEM (Technical or engineered material use); USES (Uses) (Sartomer 1012; photoresists containing polycyclic polymers with

acid-labile pendant groups and)

221125-26-4P

RL: SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(photoresists containing polycyclic polymers with acid-labile pendant groups and)

57900-42-2, Triphenvlsulfonium hexafluoroarsenate 189201-19-2, Sartomer CD 1010

RL: TEM (Technical or engineered material use); USES (Uses) (photoresists containing polycyclic polymers with acid-labile pendant groups and)

196805-20-6P

RL: RCT (Reactant); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)

(preparation and reaction in preparing norbornene compound for preparing

```
polymers for photoresists)
    7184-08-9P, Diethyl bicyclo[2,2,1]hept-5-ene-exo,exo-2,3-dicarboxylate
    196805-11-5P
    RL: RCT (Reactant); SPN (Synthetic preparation); TEM (Technical or
    engineered material use); PREP (Preparation); RACT (Reactant or reagent);
    USES (Uses)
       (preparation and reaction in preparing polycyclic polymers for photoresists)
    185621-23-2P 195154-83-7P 196805-16-0P 196805-18-2P 221125-12-8P
    221125-13-9P 221125-14-0P 221125-15-1P 221125-17-3P 221125-18-4P
    221125-20-8P 221125-21-9P 221125-22-0P 221125-23-1P 221125-28-6P
    221125-29-7P
    RL: SPN (Synthetic preparation); TEM (Technical or engineered material
    use); PREP (Preparation); USES (Uses)
       (preparation and use in preparing photoresists)
    542-92-7, Cyclopentadiene, reactions 53399-81-8, Ethyl
    2-methy1-4-pentenoate
    RL: RCT (Reactant); TEM (Technical or engineered material use); RACT
    (Reactant or reagent); USES (Uses)
       (reaction in preparing norbornene compound for preparing cyclic polymers for
       photoresists)
RE.CNT 5
            THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD
(1) Goodrich, F; WO 9733198 A 1997 CAPLUS
(2) Goodrich Co B F; EP 0140319 A 1985 CAPLUS
(3) Hiroharu, I; US 4106943 A 1978
(4) Japan Synthetic Rubber Co Ltd; EP 0789278 A 1997 CAPLUS
(5) Samsung Electronics Co Ltd; EP 0836119 A 1998 CAPLUS
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COST IN U.S. DOLLARS
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                                                               TOTAL
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                                                     ENTRY
FULL ESTIMATED COST
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                                                               14.30
DISCOUNT AMOUNTS (FOR OUALIFYING ACCOUNTS)
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                                                               TOTAL
                                                     ENTRY
                                                             SESSION
CA SUBSCRIBER PRICE
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                                                               -0.80
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FILE CONTAINS CURRENT INFORMATION.
LAST RELOADED: Dec 5, 2008 (20081205/UP).
=> file uspatall caplus japio
COST IN U.S. DOLLARS
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                                                     ENTRY
                                                             SESSION
FULL ESTIMATED COST
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                                                     0.36
DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS)
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                                                               TOTAL
                                                     ENTRY
                                                             SESSION
CA SUBSCRIBER PRICE
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                                                                -0.80
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CA INDEXING COPYRIGHT (C) 2008 AMERICAN CHEMICAL SOCIETY (ACS)
FILE 'USPATOLD' ENTERED AT 16:16:33 ON 12 DEC 2008
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CA INDEXING COPYRIGHT (C) 2008 AMERICAN CHEMICAL SOCIETY (ACS)

FILE 'USPAT2' ENTERED AT 16:16:33 ON 12 DEC 2008 CA INDEXING COPYRIGHT (C) 2008 AMERICAN CHEMICAL SOCIETY (ACS)

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FILE 'JAPIO' ENTERED AT 16:16:33 ON 12 DEC 2008 COPYRIGHT (C) 2008 Japanese Patent Office (JPO) - JAPIO

=> s trimethylsilvl!!!!!!!tetracyclo!!!!!!carboxylate 0 TRÎMETHYLSILYL!!!!!!!!!TETRACYCLO!!!!!!CARBOXYLATE

=> s trimethylsilyl!!!!!!!tetracyclo!!!!!! carboxylate 0 TRIMETHYLSILYL!!!!!!!!!TETRACYCLO!!!!!! CARBOXYLATE

=> s trimethylsilyl(1w)norbornen?

66 TRIMETHYLSILYL(1W) NORBORNEN?

=> s (ethylene or ethene)(4a)(copolymer# or terpolymer#)

329756 (ETHYLENE OR ETHENE) (4A) (COPOLYMER# OR TERPOLYMER#)

=> s 15 and 16 9 L5 AND L6

=> d 17 1-9 ibib abs

L7 ANSWER 1 OF 9 USPATFULL on STN

ACCESSION NUMBER: 2003:188659 USPATFULL

TITLE: Copolymers of ethylene with various

norbornene derivatives

INVENTOR(S): Johnson, Lynda Kaye, Wilmington, DE, UNITED STATES

Wang, Lin, Hockessin, DE, UNITED STATES

NUMBER KIND DATE PATENT INFORMATION: US 20030130452 A1 20030710 APPLICATION INFO.: US 2002-269151 A1 20021011 (10)

NUMBER DATE

PRIORITY INFORMATION: US 2001-328736P 20011012 (60)

DOCUMENT TYPE: Utility

FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: E I DU PONT DE NEMOURS AND COMPANY, LEGAL PATENT RECORDS CENTER, BARLEY MILL PLAZA 25/1128, 4417

LANCASTER PIKE, WILMINGTON, DE. 19805

NUMBER OF CLAIMS: EXEMPLARY CLAIM:

LINE COUNT: 1126

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Ethylene and norbornene-type monomers are efficiently copolymerized by certain metal complexes, particularly nickel complexes, containing selected anionic and neutral bidentate ligands. The polymerization process is tolerant of polar functionality on the norbornene-type monomer and can be carried out at elevated temperatures.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L7 ANSWER 2 OF 9 USPATFULL on STN

ACCESSION NUMBER: 2001:117111 USPATFULL

TITLE: Method for the preparation of copolymers of ethylene/norbornene-type monomers with cationic

palladium catalysts

INVENTOR(S): Goodall, Brian Leslie, Akron, OH, United States
McIntosh, III, Lester Howard, Cuvahoga Falls, OH,

United States

PATENT ASSIGNEE(S): The B. F. Goodrich Company, Brecksville, OH, United

States (U.S. corporation)

APPLICATION INFO.: US 1998-94349 19980609 (9)
RELATED APPLN. INFO.: Continuation-in-part of Ser. No. US 1997-876538, filed

on 9 Jun 1997, now abandoned

DOCUMENT TYPE: Utility
FILE SEGMENT: GRANTED
PRIMARY EXAMINER: Wu, David W.
ASSISTANT EXAMINER: Rabago, R.

LEGAL REPRESENTATIVE: Dunlap, Thoburn T., Shust, Nestor W.

NUMBER OF CLAIMS: 15
EXEMPLARY CLAIM: 1

LINE COUNT: 1637
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB A method of preparing generally amorphous copolymers of

ethylene and at least one norbornene (NB)-type comonomer. These polymers may be random or alternating, depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a cationic palladium catalyst resulting from reacting a chelating ligand with a palladium (II) compound. The catalysts employed in this invention may be represented by the formula: ##STRI##

### wherein

X and Y each independently is a donor heteroatom selected from P, N, O, S and As or an organic group containing said heteroatoms, and the heteroatoms are bonded to the bridging group A;

A is a divalent group selected from an organic group and phosphorus forming together with X, Y and Pd a 4, 5, 6, or 7-membered ring, and preferably a 5-membered ring;

R is a hydrocarbyl group; and

CA is a weakly coordinating anion.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L7 ANSWER 3 OF 9 USPATFULL on STN

ACCESSION NUMBER: 2001:33480 USPATFULL

TITLE: Method for the preparation of copolymers of

ethylene/norbornene-type monomers with nickel

catalysts

INVENTOR(S): Makovetsky, Kiryll Lvovich, Moscow, Russian Federation

Finkelshtein, Eugeny Shmerovich, Moscow, Russian

Federation

Bykov, Viktor Ivanovich, Moscow, Russian Federation

Bagdasarvan, Andrey Khristoforovich, Moscow, Russian

Federation

Rhodes, Larry Funderburk, Silver Lake, OH, United States

The B. F. Goodrich Company, Charlotte, NC, United PATENT ASSIGNEE(S):

States (U.S. corporation)

A.V. Topchiev Institute of Petrochemical Synthesis, Topchiev, Russian Federation (non-U.S. corporation)

NUMBER KIND DATE US 6197984 B1 20010306 US 1999-305942 19990506 (9) PATENT INFORMATION: APPLICATION INFO.:

RELATED APPLN. INFO.: Division of Ser. No. US 1997-871245, filed on 9 Jun

1997, now patented, Pat. No. US 5929181 DOCUMENT TYPE: Utility

FILE SEGMENT: Granted

PRIMARY EXAMINER: Nazario-Gonzalez, Porfirio

LEGAL REPRESENTATIVE: Hudak & Shunk Co., LPA, Dunlap, Thoburn T.

NUMBER OF CLAIMS:

EXEMPLARY CLAIM: LINE COUNT: 1345

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A method of preparing amorphous copolymers of ethylene and at least one norbornene (NB)-type comonomer is disclosed. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula ##STR1##

wherein Y may be a saturated or unsaturated hydrocarbyl chain, X may be oxygen or sulfur, E may be phosphorus, arsenic, antimony, oxygen or nitrogen, R and R' independently each is hydrogen or a hydrocarbyl group, L is a ligand containing a heteroatom P, N or O or L and R together with L may form part of a chelating structure in which case L is an ethylenic double bond.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L7 ANSWER 4 OF 9 USPATFULL on STN

ACCESSION NUMBER: 2000:125116 USPATFULL

TITLE: Photodefinable dielectric compositions comprising

polycyclic polymers

Shick, Robert A., Strongsville, OH, United States INVENTOR(S): Jayaraman, Saikumar, Twinsburg, OH, United States

Elce, Edmund, Akron, OH, United States

Goodall, Brian L., Akron, OH, United States

The B. F. Goodrich Company, NY, United States (U.S.

PATENT ASSIGNEE(S): corporation)

NUMBER KIND DATE -----PATENT INFORMATION: US 6121340 US 1997-964080 20000919 APPLICATION INFO.: 19971104 (8)

NUMBER DATE

PRIORITY INFORMATION: US 1996-30410P 19961104 (60) DOCUMENT TYPE: Utility

FILE SEGMENT:

FILE SEGMENT: Granted
PRIMARY EXAMINER: Berman, Susan W. LEGAL REPRESENTATIVE: Dunlap, Thoburn T.

NUMBER OF CLAIMS: 33 EXEMPLARY CLAIM:

LINE COUNT: 3578

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

The present invention relates to a photodefinable dielectric composition comprising a photoinitiator and a polycyclic addition polymer comprising polycyclic repeating units that contain pendant silyl functionalities containing hydrolyzable substituents. Upon exposure to a radiation source the photoinitiator catalyzes the hydrolysis of the hydrolyzable groups to effect the cure of the polymer and adhesion of the polymer to desired substrates.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

ANSWER 5 OF 9 USPATFULL on STN

ACCESSION NUMBER: 2000:24732 USPATFULL

TITLE: Addition polymers of polycycloolefins containing silvl

functional groups

INVENTOR(S): McIntosh, III, Lester H., Cuvahoga Falls, OH, United

Goodall, Brian L., Akron, OH, United States

Shick, Robert A., Strongsville, OH, United States Jayaraman, Saikumar, Cuyahoga Falls, OH, United States

PATENT ASSIGNEE(S): The B.F. Goodrich Company, Richfield, OH, United States

(U.S. corporation)

NUMBER KIND DATE

PATENT INFORMATION: US 6031058 20000229 APPLICATION INFO.: US 1999-263930 19990308 (9)

RELATED APPLN. INFO.: Continuation of Ser. No. US 1995-562345, filed on 22

Nov 1995, now patented, Pat. No. US 5912313

DOCUMENT TYPE: Utility FILE SEGMENT: Granted Zitomer, Fred PRIMARY EXAMINER:

LEGAL REPRESENTATIVE: Dunlap, Thoburn T. 45

NUMBER OF CLAIMS: EXEMPLARY CLAIM: 32

NUMBER OF DRAWINGS:

7 Drawing Figure(s); 7 Drawing Page(s) LINE COUNT:

4162

CAS INDEXING IS AVAILABLE FOR THIS PATENT. AB

Silv1 substituted polymers of polycycloolefins are provided as well as catalyst systems for their preparation. The polymers of the invention include polycyclic repeat units that contain pendant silyl functional groups represented by the following formulae: ##STR1## wherein A is a divalent radical selected from the following structures: ##STR2## R.sup.9 independently represents hydrogen, methyl, or ethyl; R.sup.10, R.sup.11, and R.sup.12 independently represent halogen, linear or branched (C.sub.1 to C.sub.20) alkyl, linear or branched (C.sub.1 to C.sub.20) alkoxy, linear or branched (C.sub.1 to C.sub.20) alkyl carbonyloxy, (C.sub.1 to C.sub.20) alkyl peroxy, and substituted or unsubstituted (C.sub.6 to C.sub.20) aryloxy; R.sup.10, R.sup.11, and R.sup.12 together with the silicon atom to which they are attached form the group: ##STR3## n is a number from 0 to 5; and n' is 0 or 1; and n" is a number from 0 to 10.

L7 ANSWER 6 OF 9 USPATFULL on STN

ACCESSION NUMBER: 1999:85532 USPATFULL

TITLE: Method for preparation of copolymers of ethylene/norbornene-type monomers with nickel

catalysts

INVENTOR(S): Makovetsky, Kirvll Lvovich, Moscow, Russian Federation

Finkelshtein, Eugenv Shmerovich, Moscow, Russian

Federation

Bykov, Viktor Ivanovich, Moscow, Russian Federation Bagdasaryan, Andrey Khristoforovich, Moscow, Russian

Federation

Goodall, Brian Leslie, Akron, OH, United States

Rhodes, Larry Funderburk, Silver Lake, OH, United

States

PATENT ASSIGNEE(S): The B.F. Goodrich Co., Richfield, OH, United States

(U.S. corporation)

A.V. Topchiev Institute of Petrochemical Synthesis,

A.V. Topchiev, Russian Federation (non-U.S. corporation)

NUMBER KIND DATE PATENT INFORMATION: US 5929181 19990727 US 1997-871245 19970609 (8) APPLICATION INFO.:

DOCUMENT TYPE: Utility FILE SEGMENT: Granted

PRIMARY EXAMINER: Zitomer, Fred LEGAL REPRESENTATIVE: Shust, Nestor W.

NUMBER OF CLAIMS: 26 EXEMPLARY CLAIM:

LINE COUNT: 1728

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A method of preparing amorphous copolymers of ethylene

and at least one norbornene (NB)-type comonomer is disclosed. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula ##STR1## wherein Y may be a saturated or unsaturated hydrocarbyl chain, X may be oxygen or sulfur, E may be phosphorus, arsenic,

antimony, oxygen or nitrogen, R and R' independently each is hydrogen or a hydrocarbyl group, L is a ligand containing a heteroatom P, N or O or L and R together with L may form part of a chelating structure in which case L is an ethylenic double bond.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L7 ANSWER 7 OF 9 USPATFULL on STN

ACCESSION NUMBER: 1999:67332 USPATFULL

TITLE: Addition polymers of polycycloolefins containing silyl

functional groups

INVENTOR(S): McIntosh, III, Lester H., Cuyahoga Falls, OH, United

States

Goodall, Brian L., Akron, OH, United States

Shick, Robert A., Strongsville, OH, United States

Jayaraman, Saikumar, Cuyahoga Falls, OH, United States PATENT ASSIGNEE(S): The B. F. Goodrich Company, Akron, OH, United States

(U.S. corporation)

NUMBER KIND DATE

 PATENT INFORMATION:
 US 5912313
 19990615

 APPLICATION INFO.:
 US 1995-562345
 19951122
 (8)

 DOCUMENT TYPE:
 Utility

 FILE SEGMENT:
 Granted

 PRIMARY EXAMINER:
 Zitomer, Fred

LEGAL REPRESENTATIVE: Dunlap, Thoburn T.

NUMBER OF CLAIMS: 74 EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 7 Drawing Figure(s); 7 Drawing Page(s) LINE COUNT: 4406

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Silvl substituted polymers of polycycloolefins are provided as well as catalyst systems for their preparation. The polymers of the invention include polycyclic repeat units that contain pendant silyl functional groups represented by the following formulae: ##STR1## wherein A is a divalent radical selected from the following structures: ##STR2## R.sup.9 independently represents hydrogen, methyl, or ethyl; R.sup.10, R.sup.11, and R.sup.12 independently represent halogen, linear or branched (C.sub.1 to C.sub.20) alkyl, linear or branched (C.sub.1 to C.sub.20) alkoxy, linear or branched (C.sub.1 to C.sub.20) alkyl carbonyloxy, (C.sub.1 to C.sub.20) alkyl peroxy, and substituted or unsubstituted (C.sub.6 to C.sub.20) aryloxy; R.sup.10, R.sup.11, and R.sup.12 together with the silicon atom to which they are attached form the group: ##STR3## n is a number from 0 to 5; and n' is 0 or 1; and n" is a number from 0 to 10.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L7 ANSWER 8 OF 9 USPATFULL on STN

ACCESSION NUMBER: 81:7881 USPATFULL

TITLE: Method for chain-opening polymerization of norbornene

derivatives

INVENTOR(S): Kotani, Teizo, Yokohama, Japan Matsumoto, Shuichi, Yokohama, Japan Igarashi, Katsutoshi, Yokohama, Japan

Suzuki, Kazuo, Yokohama, Japan

PATENT ASSIGNEE(S): Japan Synthetic Rubber Co., Ltd., Tokyo, Japan (non-U.S. corporation)

NUMBER KIND DATE

PATENT INFORMATION: US 4250063 19810210 APPLICATION INFO.: US 1979-5503 19790122 (6)

RELATED APPLN. INFO.: Continuation of Ser. No. US 1977-815320, filed on 13

Jul 1977, now abandoned

NUMBER DATE JP 1976-82573 19760713 JP 1976-109413 19760914 PRIORITY INFORMATION: Utility

DOCUMENT TYPE: Utility
FILE SEGMENT: Granted
PRIMARY EXAMINER: Smith, Edward J.

LEGAL REPRESENTATIVE: Oblon, Fisher, Spivak, McClelland & Maier

NUMBER OF CLAIMS: 19 EXEMPLARY CLAIM: 1 LINE COUNT: 1141

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Polymers or copolymers of norbornene derivatives can be produced by

contacting in the presence or absence of an inert solvent at least one norbornene derivative substituted by at least one polar group selected from the group consisting of ester, ether, nitrile, amide, imide, acid anhydride, halogen, and silyl, or by at least one substituent having one of said polar groups; or a combination of said norbornene derivative with at least one member selected from the group consisting of cycloalkenes having no polar substituent and polymers having olefinic carbon-to-carbon double bonds; with a catalyst consisting essentially of (a) at least one coordination compound of W or Mo, the oxidation number of which is 2, 1 or 0, (b) at least one titanium tetrahalide and, if necessary, (c) at least one compound selected from the group consisting of componds having an electron-accepting  $\pi$  bond, N-halogen-substituted cyclic acid imides, sulfides, sulfoxides and phosphines. The above-mentioned catalyst is more active, cheaper, and easier to handle than the conventional catalysts containing organoaluminum compounds.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L7 ANSWER 9 OF 9 CAPLUS COPYRIGHT 2008 ACS on STN

ACCESSION NUMBER: 2005:271747 CAPLUS

DOCUMENT NUMBER: 142:482376

TITLE: Copolymerization of norbornene with ethylene catalyzed by nickel complexes with phosphor ylide ligands

AUTHOR(S): Makovetskii, K. L.; Bykov, V. I.; Bagdasar'yan, A. Kh.; Finkel'shtein, E. Sh.; Bondarenko, G. N.;

Butenko, T. A.

CORPORATE SOURCE: Topchiev Institute of Petrochemical Synthesis, Russian

Academy of Sciences, Moscow, 119991, Russia

Vysokomolekulyarnye Soedineniya, Seriya A i Seriya B (2005), 47(2), 197-204

CODEN: VSSBEE; ISSN: 1023-3091

PUBLISHER: Izdatel'stvo Nauka

DOCUMENT TYPE: Journal LANGUAGE: Russian

B Catalytic systems based on salts or complexes of nickel in combination with alkylaluminum chlorides that were previously used for the homopolymn. of norbornene were shown to be incapable of producing norbornene copolymers with ethylene or conjugated dienes due to the efficient chain-transfer reaction. It was found that the copolymn

of norbornene with ethylene proceeds under mild conditions when nickel complexes containing chelating phosphor ylide ligands, nickel-Ph bonds, and auxiliary weakly bonded ligands (pyridine or norbornene itself) are used. No co-catalysts are required for manifestation of the catalytic activity of these complexes. The ratio of norbornene and ethylene units in high-mol.-mass copolymers is close to equimolar. The feasible scheme of copolymn is discussed.

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SOURCE:

L7 ANSWER 1 OF 9 USPATFULL on STN

ACCESSION NUMBER: 2003:188659 USPATFULL

TITLE: Copolymers of ethylene with various

norbornene derivatives

INVENTOR(S): Johnson, Lynda Kaye, Wilmington, DE, UNITED STATES

Wang, Lin, Hockessin, DE, UNITED STATES

NUMBER KIND DATE

PATENT INFORMATION: US 20030130452 A1 20030710 APPLICATION INFO.: US 2002-269151 A1 20021011

A1 20021011 (10)

NUMBER DATE

PRIORITY INFORMATION: US 2001-328736P 20011012 (60) DOCUMENT TYPE: Utility

APPLICATION FILE SEGMENT:

LEGAL REPRESENTATIVE: E I DU PONT DE NEMOURS AND COMPANY, LEGAL PATENT

RECORDS CENTER, BARLEY MILL PLAZA 25/1128, 4417 LANCASTER PIKE, WILMINGTON, DE, 19805

NUMBER OF CLAIMS:

EXEMPLARY CLAIM:

LINE COUNT: 1126

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Copolymers of ethylene with various norbornene

derivatives

SUMM [0002] Addition copolymers of ethylene and norbornene-type monomers are well known and can be prepared using a variety of catalysts disclosed in the prior art. This general type of copolymers can be prepared using free radical catalysts disclosed in U.S. Pat. No. 3,494,897; titanium tetrachloride and diethylaluminum chloride as disclosed in DD109224 and DD222317 (VEB Leuna); or a variety of vanadium compounds, usually in combination with organoaluminum

compounds, as disclosed in U.S. Pat. No. 4,614,778. The copolymers obtained with these catalysts are random copolymers.

and ethylene pressures ranging from 80 to 300 psig.

STIMM [0007] U.S. Pat. No. 6,265,506 discloses a method of producing generally amorphous copolymers of ethylene and at least one norbornene-type comonomer using a cationic palladium catalyst. Copolymerizations exemplified were carried out at ambient temperature

SUMM [0008] U.S. Pat. No. 5,929,181 discloses a method for preparing generally amorphous copolymers of ethylene and norbornene-type monomers with neutral nickel catalysts. The exemplified copolymerizations were carried out at reactor temperatures ranging from 5 to 60° C., primarily at ambient temperature. In comparative copolymerizations, copolymer vields typically decreased with increasing temperature, often peaking below ambient temperature. Direct copolymerization of norbornene-type monomers containing acidic functionality was claimed, but not exemplified, with the acidic functionality always being protected prior to copolymerization.

[0107] Illustrative examples of suitable monomers include 2-norbornene, SUMM 5-butv1-2-norbornene, 5-methv1-2-norbornene, 5-hexv1-2-norbornene, 5-decy1-2-norbornene, 5-pheny1-2-norbornene, 5-naphthy1-2-norbornene, 5-ethylidene-2-norbornene, vinylnorbornene, dicyclopentadiene, dihydrodicyclopentadiene, tetracyclododecene, methyltetracyclododecene, tetracyclododecadiene, dimethyltetracyclododecene, ethyltetracyclododecene, ethylidenyl tetracyclododecene, phenyltetracyclododecene, trimers of cyclopentadiene (e.g., symmetrical and asymmetrical trimers), 5-hydroxy-2-norbornene, 5-hydroxymethy1-2-norbornene, 5-methoxy-2-norbornene, 5-t-butoxycarbonyl-2-norbornene, 5-methoxy-carbonyl-2-norbornene, 5-carboxy-2-norbornene, 5-carboxymethy1-2-norbornene, decanoic acid ester of 5-norbornene-2-methanol, octanoic acid ester of 5-norbornene-2-methanol, n-butyric acid ester of 5-norbornene-2-methanol, 5-triethoxysily1-norbornene, 5-trichlorosilyl-norbornene, 5-trimethylsilyl

norbornene, 5-chlorodimethylsilyl norbornene, 5-trimethoxysilyl norbornene, 5-methyldimethoxysilyl norbornene, and 5-dimethylmethoxy norbornene.

- [0124] Copolymers of ethylene and norbornene-type SUMM monomers may contain "abnormal" branching (see for example previously incorporated U.S. Pat. No. 5,866,663 for an explanation of "abnormal" branching). These polymers may typically contain more than 5 methyl ended branches per 1000 methylene groups in polyethylene segments in the polymer, more typically more than 10 methyl ended branches, and most typically more than 20 methyl ended branches. Branching levels may be determined by NMR spectroscopy, see for instance previously incorporated U.S. Pat. No. 5,866,663 and other well-known references for determining branching in polyolefins. By "methyl ended branches" are meant the number of methyl groups corrected for methyl groups present as end groups in the polymer. Also not included as methyl ended branches are groups which are bound to a norbornane ring system as a side group, for example a methyl attached directly to a carbon atom which is bound to a ring atom of a norbornane ring system. These corrections are well known in the art. The branches can impart improved solubility to the ethylene copolymers, which can be advantageous for a number of purposes, including the preparation of photoresists and other materials.
- [0125] The copolymers of ethylene and one or more SUMM norbornene-type comonomers produced by the process disclosed herein may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. A range of polymer morphologies can be produced with these catalysts, varying from amorphous to crystalline. The full range of norbornene incorporation (0 to 100 mol %) can be achieved as well, with about 0.1 to about 90 mol % being preferred. Typically, polymers disclosed herein contain at least one mole percent (based on the total number of all repeat units in the copolymer) of the norbornene-type monomer. Repeat units derived from one or more other copolymerizable monomers, such as alpha-olefins, may also optionally be present. Those copolymers that contain close to 50:50 mole ratio of ethylene and norbornene-type monomers will tend to be largely alternating. The copolymers range in molecular weight (Mw) from about 1,000 to about 250,000, often from about 2,000 to about 150,000.
- SUMM [0128] The instant method makes it possible to prepare copolymers of ethylene with NB-type monomers containing polar substituents such as esters, ethers, silyl groups, and fluorinated alcohols and ethers, as disclosed above in greater detail. The copolymers of the present invention may be prepared from 0 to 100 percent of functional NB-type monomers or a mixture of NB-type monomers may be utilized; such mixtures may contain 1 to 99 percent of non-functional and 1 to 99 percent of functional NB-type monomers.
- SUMM [0129] Copolymers of ethylene and polar norbornene-type monomers have unique physical properties not possessed by other norbornene-type polymers. Thus such polymers have especially good adhesion to various other materials, including metals and other polymers, and thus may find applicability in electrical and electronic applications. A surface made from such copolymers also has good paintability properties. In addition, certain copolymers of ethylene and polar norbornene-type monomers are useful in photoresist compositions and antireflective coatings. Copolymers of ethylene and polar norbornene-type monomers are also useful as molding resins (if thermoplastic) or as elastomers (if elastomeric).

These polar copolymers are also useful in polymer blends, particularly as compatibilizers between different types of polymers; for example polar copolymers of this invention may compatibilize blends of polyolefins such as polyethylene and more polar polymers such as poly (meth) acrylates, polyesters, or polyamides.

- SUMM [0131] Copolymers of ethylene and norbornene-type monomers with lower Tq's, e.g., those containing lower amounts of norbornene-type monomers, are useful as adhesives, crosslinkers, films, impact modifiers, ionomers and the like.
- DETD [0188] MeOH soluble polymer fractions were also isolated for the polymerizations of Examples 7-12. The .sup.1H NMR spectra and solubility of these fractions indicate that they have high NRBF incorporation (>50 mol % by .sup.1H NMR analysis). The homepolymer of NRBF is typically a white powder, as is the homopolymer of ethylene made by catalyst N-la. Therefore, the appearance of these polymers as viscous oils and also their methanol-solubility is consistent with them being copolymers of NRBF and ethylene. Yield and appearance of MeOH-soluble fractions:
- DETD [0195] MeOH soluble polymer fractions were also isolated for the polymerizations of Examples 13-17. The solubility of these fractions indicates that they have high NBFOH incorporation. The homopolymer of NBFOH is typically a white powder, as is the homopolymer of ethylene made by catalysts N-la through N-4. Therefore, the appearance of these polymers as viscous oils/amorphous solids and also their methanol-solubility is consistent with them being copolymers of NBFOH and ethylene. Yield and appearance of MeOH-soluble fractions:

DETD [0202] TABLE 6

.sup.13C NMR Branching Analysis for Some Ethylene Copolymers (MeOH-Insoluble Fractions) of NRBF and NBFOH and

NBE-(C(0)OMe).sub.2Total Hex.sup.+ & Am.sup.+ & Bu.sup.+ & Eχ Me Et Pr Bu eoc eoc eoc 15.6 4.8 1.4 0.1 0.5 10.5 9.0 3 19.2 11.4 1.7 0.5 1.3 5.1 5.5 15.3 6.0 4 3.5 3.3 0.3 1.3 5.7 8.2 5 7.3 1.3 0.3 0.1 0.1 3.9 4.2 5.6 6 10.9 3.5 1.4 0.3 0.3 4.4 5.8 5.8 7 24.0 17.2 2.1 0.2 0.6 2.5 4.4 4.4 8 16.3 10.2 2.2 0.3 0.5 2.7 4.3 3.6 20.0 10.8 1.7 4.5 6.6 7.1 9 0.4 0.8 10 19.2 9.8 1.1 0.1 0.6 5.3 7.1 8.2 20.6 0.0 0.5 10.1 11.0 11.7 11 32.0 0.8 7.0 0.4 12 15.8 3.7 0.0 0.9 8.6 8.8 6.8 0.3 13 12.1 0.1 1.8 4.5 4.4 4.9 5.9 16 14.4 5.6 0.8 130.4 110.4 7.3 0.1 5.3 7.8 1.2 17 1.2 35.6 9.2 13.1 11.5 0.1 3.9 0.0 3.4 5.1 19 9.2 0.0 4.0 20 5.9 4.1 0.4 0.3 0.3 1.1 1.6 1.1 0.4 5.1 3.1 0.3 0.2 0.9 1.2 23 2.4 0.4 0.1 0.5 3.4 4.1 4.7

L7 ANSWER 3 OF 9 USPATFULL on STN

ACCESSION NUMBER: 2001:33480 USPATFULL

TITLE: Method for the preparation of copolymers of ethylene/norbornene-type monomers with nickel

catalysts

INVENTOR(S): Makovetsky, Kirvll Lvovich, Moscow, Russian Federation

Finkelshtein, Eugenv Shmerovich, Moscow, Russian

Federation

Bykov, Viktor Ivanovich, Moscow, Russian Federation Bagdasaryan, Andrey Khristoforovich, Moscow, Russian

Federation

Rhodes, Larry Funderburk, Silver Lake, OH, United

States

The B. F. Goodrich Company, Charlotte, NC, United PATENT ASSIGNEE(S):

States (U.S. corporation)

A.V. Topchiev Institute of Petrochemical Synthesis, Topchiev, Russian Federation (non-U.S. corporation)

NUMBER KIND DATE

US 6197984 B1 20010306 US 1999-305942 19990506 (9) PATENT INFORMATION: APPLICATION INFO.:

RELATED APPLN. INFO.: Division of Ser. No. US 1997-871245, filed on 9 Jun

1997, now patented, Pat. No. US 5929181

DOCUMENT TYPE: Utility FILE SEGMENT: Granted

PRIMARY EXAMINER: Nazario-Gonzalez, Porfirio

LEGAL REPRESENTATIVE: Hudak & Shunk Co., LPA, Dunlap, Thoburn T.

NUMBER OF CLAIMS: 3 EXEMPLARY CLAIM: LINE COUNT: 1345

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

TI Method for the preparation of copolymers of ethylene

/norbornene-type monomers with nickel catalysts AB A method of preparing amorphous copolymers of ethylene

and at least one norbornene (NB)-type comonomer is disclosed. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the

formula ##STR1##

PARN This is a divisional of application Ser. No. 08/871,245, filed on Jun. 9, 1997 U.S. Pat. No. 5,929,181, of Makovetsky et al., for METHOD FOR THE PREPARATION OF COPOLYMERS OF ETHYLENE

/NORBORNENE-TYPE MONOMERS WITH NICKEL CATALYSTS.

Addition copolymers of ethylene and norbornene-type SUMM monomers are well known and can be prepared using a variety of catalysts disclosed in the prior art. This general type of copolymers can be prepared using free radical catalysts disclosed in U.S. Pat. No. 3,494,897 (Reding et al.); titanium tetrachloride and diethylaluminum chloride as disclosed in East German Patents 109,224 and 222,317 (VEB Leuna); or a variety of vanadium compounds, usually in combination with organoaluminum compounds, as disclosed in European Patent Application No. 156464 (Kajiura et al.). The copolymers obtained with these catalysts are random copolymers. U.S. Pat. No. 4,948,856 issued to Minchak et al. (B.F.Goodrich) discloses preparing generally alternating copolymers by the use of vanadium catalysts which are soluble in the norbornene-type monomer and a co-catalyst which may be any alkyl

aluminum halide or alkylalkoxy aluminum halide. European Patent

Application No. 0 504 418 Al (Matsumoto et al.) discloses copolymerization of said monomers in the presence of catalysts such as transition metal compounds, including nickel compounds, and a compound which forms an ionic complex with the transition metal compound or a catalyst comprising said two compounds and an organoaluminum compound. More recently, metallocene catalysts were used to prepare copolymers of cycloolefins and a-olefins as disclosed in EP 283, 164 (1987) issued to Mitsui Petrochemicals and EP 407,870 (1989), EP 488,893 (1990) and EP 503,422 (1991) issued to Hoechst AG. Most recently PCT published application MO96/23010 discloses processes of polymerizing ethylene, a-olefins and/or selected cyclic olefins which are catalyzed by selected transition metal compounds, including nickel complexes of dimine ligands, and sometimes also a cocatalyst. This disclosure provides, however, that when norbornene or a substituted norbornene is used, no other olefin can be present.

- SUMM It is a general object of the invention to provide a novel method of preparing amorphous copolymers of ethylene and at least one norbornene (NB)-type comonomer. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula ##STR2##
- SUMM This invention is directed to a new method of preparing substantially amorphous copolymers of ethylene and one or more norbornene (NB)-type comonomers. The resulting copolymers may be alternating or random, depending on the relative proportion of each type of monomer used. This method comprises copolymerizing said monomers in the presence of a catalyst which is a neutral nickel compound bearing a bidentate ligand which chelates the nickel via two hetero-atoms (which may be the same or different) and a hydrocarbyl group (R) or a hydride.
- SUMM Catalysts of formula I where E is phosphorus and X is oxygen have been found to preferably yield essentially alternating copolymers of norbornene and ethylene. Nevertheless at extremely high ratio of either one monomer over the other deviations from this alternating compositions are observed. When substituted norbornenes are employed, higher concentrations of the norbornenes are required to obtain the alternating compositions.
- SUMM The instant method is unique in that it makes it possible to prepare copolymers of ethylene with NB-type monomers containing functional substituents such as esters, ethers or silyl groups as disclosed below in greater detail. The catalysts employed in the prior art in the polymerization of cyclic olefins were deactivated if such monomers contained functional substituents.
- SUMM Illustrative examples of suitable monomers include 2-norbornene,
  5-butyl-2-norbornene, 5-methyl-2-norbornene, 5-decyl-2-norbornene, 5-decyl-2-norbornene, 5-expl-2-norbornene,
  5-decyl-2-norbornene, 5-phenyl-2-norbornene, 5-naphthyl-2-norbornene
  5-ethylidene-2-norbornene, vinylnorbornene, dicyclopentadiene,
  dihydrodicyclopentadiene, tetracyclododecene, methyltetracyclododecene,
  ethyltetracyclododecene, ethylidenyl tetracyclododecene,
  phenyltetracyclododecene, trimers of cyclopentadiene (e.g., symmetrical
  and asymmetrical trimers), 5-hydroxy-2-norbornene,
  5-hydroxymethyl-2-norbornene, 5-methoxy-2-norbornene,
  5-t-butoxycarbonyl-2-norbornene, 5-methoxy-carbonyl-2-norbornene,
  5-carboxy-2-norbornene, decanoic acid

ester of 5-norbornene-2-methanol, octanoic acid ester of 5-norbornene-2-methanol, n-butyric acid ester of 5-norbornene-2-methanol, 5-triethoxysilyl-norbornene, 5-trichlorosilyl-norbornene, 5-trimethylsilyl norbornene, 5-chlorodimethylsilyl norbornene, 5-trimethoxysilyl norbornene, 5-methyldimethoxysilyl norbornene, 5-methyldimethyldimethyldimethyldimethyldimethyldimethyldimethyldimethyldimethyldimethyldimethyldimethyldimethyldimethy

DBID Polymerization Example 2. To a clean, dry 500 mL stainless steel reactor 20.0 g of 5-butylnorbornene (133 mmol) in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature, and was saturated with ethylene. To the reactor was added catalyst C (0.0346 g, 0.067 mmol) in toluene (5 mL). The reactor was then pressurized to 300 psig with ethylene. The reaction was allowed to proceed 2 h at which time the ethylene pressure was vented, the reactor was lowered and the solution was poured into an excess of MeOH to precipitate the polymer which was subsequently filtered and dried in a vacuum oven (at 80° C.) overnight. Yield 10.5 g. M.sub. w=65,520 and M.sub. n=29,520. NMR spectroscopy confirmed the product to be a copolymer of ethylene and butvlnorbornee.

DETD Polymerization Example 26. To a clean, dry 500 mL stainless steel reactor Striethoxysilyl-norbornene (50 mL, 191 mmol) in 100 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature, and was saturated with ethylene. To the reactor was added catalyst C (0.11 g, 0.212 mmol) in toluene (7 mL). The reactor was then pressurized to 385 psig with ethylene. The reaction was allowed to proceed 2 h at which time the ethylene pressure was vented, the reactor was lowered and the solution was poured into an excess of MeOH to precipitate the polymer which was subsequently filtered and dried in a vacuum oven (at 25° C.) overnight. Yield 12.3 g. NMR spectroscopy confirmed the product to be a copolymer of ethylene and triethoxysilylnorbornene.

DETD Polymerization Example 37. To a clean, dry 500 mL stainless steel reactor 40.0 g (425 mmol) of norbornene in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature and the stirred solution was saturated with ethylene. Bis(cycloctadiene)nickel(0) (0.244 q, 0.88 mmol) in toluene (4 mL) was then added to the reactor, followed by hexafluoroacetylacetone (0.14 mL, 1.0 mmol). The reactor was then pressurized to 250 psig with ethylene. The reaction was allowed to proceed 1 h at which time the ethylene pressure was vented, the reactor was lowered and the solution was added to an excess of MeOH. The copolymer product precipitated from solution and was filtered and washed with excess methanol. After drying to constant weight (overnight in a vacuum oven at 80° C.) the yield of copolymer amounted to 6.5 grams. Proton NMR confirmed the product to be a copolymer of ethylene and norbornene and the GPC data revealed the Mw to be 15,200 and the Mn 9,500. The glass transition temperature was determined to be 210° C. by DSC.

DETD Polymerization Example 38. To a clean, dry 500 mL stainless steel reactor 40.0 g (425 mmol) of norbornene in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature and the stirred solution was saturated with ethylene. Bis(cycloctadiene)nickel(0) (0.244 g, 0.88 mmol) in toluene (4 mL) and hexafluoroacetylacetone (0.14 mL, 1.0 mmol) were premixed at ambient temperature for 10 minutes and then added to the reactor. The reactor was then pressurized to 400 psig with ethylene. The reaction was allowed to proceed 1 hour at which time the ethylene pressure was vented, the reactor was lowered and the solution was added

and was filtered and washed with excess methanol. After drying to constant weight (overnight in a vacuum oven at 80° C.) the vield of copolymer amounted to 2.14 grams. Proton NMR confirmed the product to be a copolymer of ethylene and norbornene (77 mole percent norbornene and 23 mole percent ethylene) and the GPC data revealed the Mw to be 15,700 and the Mn 6,500. The glass transition temperature was determined to be 192° C. by DSC. DETD Polymerization Example 43. In a dry 50 mL flask 0.2569 q. (0.935 mmol) of bis(cyclooctadiene)nickel and 9 mL of dry, deoxygenated toluene were introduced under argon and 0.160 g. (0.905 mmol) of N-isobutylsalicylaldimine in 9 mL of toluene was gradually added from dropping funnel. Red-brown solution was formed. After 6 h an abundant pale brown precipitated Toluene was distilled off and a part of precipitate was dissolved in 43 mL of hexane. Greenish solution obtained contained .about.0.01 mmol Ni/mL. 8 mL of this solution and 2 q. (21.3 mmol) of norbornene [NB/Ni=266] in 2 mL of hexane were introduced in a reactor and the latter was pressurized to 3.7 atm. (53 psig) with ethylene. Then the reactor was placed in a themostat at 80° C. for 4 h. After this was cooled, the ethylene pressure was vented and the solution was poured into excess of methanol to precipitate the polymer. The latter was filtered and dried in vacuum overnight. The yield of the copolymer was 0.16 q. and proton NMR revealed that the copolymer contained 56 mole percent of norbornene and 44 mole percent of ethylene units. DSC revealed the copolymer to exhibit two glass transition points at 135 and 183° C.

to an excess of MeOH. The copolymer product precipitated from solution

# => d 17 4 ibib hit.

PATENT ASSIGNEE(S):

L7 ANSWER 4 OF 9 USPATFULL on STN

ACCESSION NUMBER: 2000:125116 USPATFULL

TITLE: Photodefinable dielectric compositions comprising

polycyclic polymers INVENTOR(S):

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NUMBER KIND DATE PATENT INFORMATION: US 6121340 20000919 US 1997-964080 APPLICATION INFO.: 19971104 (8)

NUMBER DATE PRIORITY INFORMATION: US 1996-30410P 19961104 (60)

DOCUMENT TYPE: Utility
FILE SEGMENT: Granted
PRIMARY EXAMINER: Berman, Susan W.
LEGAL REPRESENTATIVE: Dunlap, Thoburn T.

NUMBER OF CLAIMS: 33

EXEMPLARY CLAIM: 1
TITNE COUNT: 3578

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

SUMM As disclosed in Japanese Kokai Application No. 7-104474 to Nippon Zeon Co., Ltd. (NZ '474) attention is being directed to the polycycloolefins (e.g. polymers derived from polycyclic monomers containing a norbornene

SUMM

moiety). Because of their high hydrocarbon content, polycycloolefins have low dielectric constants and low affinities for moisture. Presently, there are several routes to polymerize cyclic olefin monomers such as norbornene or other higher polycyclic monomers containing the norbornene functionality. These include: (1) ring-opening metathesis polymerization (ROMP); (2) ROMP followed by hydrogenation; (3) addition copolymerization (Ziegler type copolymers with ethylene); and (4) addition homopolymerization. Each of the foregoing routes produces polymers with specific structures as shown in the diagram below: #\$\$TR1##

In another embodiment of the same disclosure, addition copolymers derived from cycloolefins and an  $\alpha$ -olefin such as ethylene catalyzed in the presence of a transition metal/aluminum catalyst system or addition polymers derived from cycloolefins catalyzed in the presence of transition metal/aluminum or palladium catalyst systems are post functioanalized with silyl substituents via a grafting reaction. Notwithstanding the inherent deficiencies of the foregoing addition polymer backbones such as (i) the low Tq of the cycloolefin/ ethylene copolymers and (ii) the insolubility of palladium catalyzed cycloaddition polymers, a further drawback is the inherent deficiencies of the post functionalization grafting reaction. As with the post functionalization of the polycyclic ROMP polymers, the amount and placement of the functional group on the backbone can not be controlled. The end result is that the silyl group can be located anywhere on the backbone where a graft reaction can occur. NZ '474 specifically teaches that the disclosed cycloaddition polymers are post functionalized with a silyl group via a conventional free radical generating mechanism. Assuming that the cycloaddition polymer was derived from the simplest of the polycyclic monomers (e.g., norbornene), the silvl functionality would have a probability of grafting to all sites on the polymer backbone that have the potential to form a free radical species (Koch, V. R.; Gleicher, G. J., J. Amer. Chem. Soc., 93:7, 1657-1661 (1971)). Accordingly, the silyl functionality can graft to any or all of the numbered sites shown below in the diagram (lower numbers indicate higher probability grafting sites). ##STR2## In spite of the hierarchy of the grafting site probabilities, the grafted product will comprise a mixture of the grafted products containing silvl functionality at one or more of the numbered sites indicated above. A major disadvantage of free radically grafted addition polymers is the propensity of the backbone to undergo cleavage or scission during the graft reaction. Free radicals generated at the repeating unit attachment sites (site 2 in the above diagram) can cause chain scission.

SUMM Minami '171 purports that the post modification of the disclosed ethylene/polycycloolefin copolymers leads to high Tg polymers (20 to 250° C.). However, the data reported in the Examples appears to suggest otherwise. The maleic anhydride, vinyltriethoxy silane, and glycidyl methacrylate graft copolymers of Examples 33 to 39 on average exhibit a 2° C. increase in Tg over their non-grafted counterparts. When taking experimental error into account, the slight overall increase in the reported Tg values are nil or insignificant at best. Contrary to the disclosure of Minami '171, high Tg polymers are not attained. In fact, the highest Tg reported in any of the Examples is only 160° C. There is no disclosure to suggest that addition polymerized silyl substituted polycyclic monomers provide polymers with superior physical and adhesive properties, especially adhesion to copper and noble metal substrates. The data reported in the examples also indicates that the highest incorporation through grafting of the vinyl triethoxy silane moiety is less than 0.1

mole %.

DETD To a dry 100 ml glass vial containing a magnetic stirrer and a mixture of decylnorbornene (11.16 g, 0.0477 mol), and trimethylsilyl norbornene (1.36 g, 0.00531 mol) was added cyclohexane (50 ml) followed by nickel ethylhexanoate (0.026 mmol). The sample was heated to 70° C. at which point, tris-pentafluorophenyl boron (0.234 mmol) and triethylaluminum (0.260 mmol) was added under an argon atmosphere. The reaction was allowed to stir for 24 hours at room temperature after which it was terminated by injecting 5 ml of ethanol into the polymer solution. The polymer solution was then diluted with cyclohexane and precipitated with excess acetone. The precipitated polymer was filtered, washed with acetone, and dried overnight under vacuum. Polymer yield was found to be 10.1 g. The polymer was further characterized using GPC to obtain molecular weight information. The molecular weight of the polymer was found to be 51,800 g/mol (M.sub.n) and 1,401,00 g/mole (M.sub.w), with a polydispersity of2.7.

DETD To a dry 100 ml glass vial containing a magnetic stirrer and a mixture of decylnorbornene (9.923 g, 0.0424 mol ), and trimethylsilyl norbornene (2.8 ml, 0.0106 mol) was added cyclohexane (50 ml) followed by nickel ethylhexanoate (0.026 mmol). The sample was heated to 70° C. at which point, tris-pentafluorophenyl boron (0.234 mmol) and triethylaluminum (0.260 mmol) was added under an argon atmosphere. The reaction was allowed to stir for 12 hours at room temperature after which it was terminated by injecting 5 ml of ethanol into the polymer solution. The polymer solution was then diluted with cyclohexane and precipitated with excess acetone. The precipitated polymer was filtered, washed with acetone and dried overnight under vacuum. Polymer yield was found to be 7.3 g. The polymer was further characterized using GPC to obtain molecular weight information and using .sup.1 H-NMR for the copolymer composition. The molecular weight of the polymer was found to be 40,000 g/mol (M.sub.n) and 109,000 g/mole (M.sub.w), with a polydispersity of 2.7.

### => d 17 6 ibib hit

L7 ANSWER 6 OF 9 USPATFULL on STN

1999:85532 USPATFULL ACCESSION NUMBER:

TITLE: Method for preparation of copolymers of

ethylene/norbornene-type monomers with nickel

catalysts

INVENTOR(S): Makovetsky, Kiryll Lvovich, Moscow, Russian Federation

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NUMBER KIND DATE PATENT INFORMATION: US 5929181 19990727 APPLICATION INFO:: US 1997-871245 19970609 (8) DOCUMENT TYPE: Utility
FILE SEGMENT: Granted
PRIMARY EXAMINER: Zitomer, Fred
LEGAL REPRESENTATIVE: Shust, Nestor W.

NUMBER OF CLAIMS: 26
EXEMPLARY CLAIM: 1
LINE COUNT: 1728

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

TI Method for preparation of copolymers of ethylene /norbornene-type monomers with nickel catalysts

AB A method of preparing amorphous copolymers of ethylene and at least one norbornene (NB)-type comonomer is disclosed. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula ##STRIH## wherein Y may be a saturated or unsaturated hydrocarbyl chain, X may be oxygen or sulfur, E may be phosphorus, arsenic, antimony, oxygen or nitrogen, R and R' independently each is hydrogen or a hydrocarbyl group, L is a ligand containing a heteroatom P, N or O or L and R together with L may form part of a chelating structure in which

case L is an ethylenic double bond. Addition copolymers of ethylene and norbornene-type monomers are well known and can be prepared using a variety of catalysts disclosed in the prior art. This general type of copolymers can be prepared using free radical catalysts disclosed in U.S. Pat. No. 3,494,897 (Reding et al.); titanium tetrachloride and diethylaluminum chloride as disclosed in East German Patents 109,224 and 222,317 (VEB Leuna); or a variety of vanadium compounds, usually in combination with organoaluminum compounds, as disclosed in European Patent Application No. 156464 (Kajiura et al.). The copolymers obtained with these catalysts are random copolymers. U.S. Pat. No. 4,948,856 issued to Minchak et al. (B.F.Goodrich) discloses preparing generally alternating copolymers by the use of vanadium catalysts which are soluble in the norbornene-type monomer and a co-catalyst which may be any alkyl aluminum halide or alkylalkoxy aluminum halide. European Patent Application No. 0 504 418 A1 (Matsumoto et al.) discloses copolymerization of said monomers in the presence of catalysts such as transition metal compounds, including nickel compounds, and a compound which forms an ionic complex with the transition metal compound or a catalyst comprising said two compounds and an organoaluminum compound. More recently, metallocene catalysts were used to prepare copolymers of cycloolefins and  $\alpha$ -olefins as disclosed in EP 283,164 (1987) issued to Mitsui Petrochemicals and EP 407,870 (1989), EP 485,893 (1990) and EP 503,422 (1991) issued to Hoechst AG. Most recently PCT published application W096/23010 discloses processes of polymerizing ethylene, a-olefins and/or selected cyclic olefins which are catalyzed by selected transition metal compounds, including nickel complexes of diimine ligands, and sometimes also a cocatalyst. This disclosure provides, however, that when norbornene or a substituted norbornene is

SUMM It is a general object of the invention to provide a novel method of preparing amorphous copolymers of ethylene and at least one norbornene (NB)-type comonomer. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula #\$STR2## wherein Y may be a saturated or unsaturated hydrocarbyl chain, X may be oxygen or

used, no other olefin can be present.

sulfur, E may be phosphorus, arsenic, antimony, oxygen or nitrogen, R and R' independently each is hydrogen or a hydrocarbyl group, L is a ligand containing a heteroatom P, N or O or L and R together with L may form part of a chelating structure in which case L is an ethylenic double bond.

- SUMM This invention is directed to a new method of preparing substantially amorphous copolymers of ethylene and one or more norbornene (NB)-type comonomers. The resulting copolymers may be alternating or random, depending on the relative proportion of each type of monomer used. This method comprises copolymerizing said monomers in the presence of a catalyst which is a neutral nickel compound bearing a bidentate ligand which chelates the nickel via two hetero-atoms (which may be the same or different) and a hydrocarbyl group (R) or a hydride.
- SUMM Catalysts of formula I where E is phosphorus and X is oxygen have been found to preferably yield essentially alternating copolymers of norbornene and ethylene. Nevertheless at extremely high ratio of either one monomer over the other deviations from this alternating compositions are observed. When substituted norbornenes are employed, higher concentrations of the norbornenes are required to obtain the alternating compositions.
- SUMM The instant method is unique in that it makes it possible to prepare copolymers of ethylene with NB-type monomers containing functional substituents such as esters, ethers or silyl groups as disclosed below in greater detail. The catalysts employed in the prior art in the polymerization of cyclic olefins were deactivated if such monomers contained functional substituents.
- SUMM Illustrative examples of suitable monomers include 2-norbornene, 5-butyl-2-norbornene, 5-methyl-2-norbornene, 5-hexyl-2-norbornene, 5-decy1-2-norbornene, 5-pheny1-2-norbornene, 5-naphthy1-2-norbornene 5-ethylidene-2-norbornene, vinylnorbornene, dicyclopentadiene, dihydrodicyclopentadiene, tetracyclododecene, methyltetracyclododecene, tetracyclododecadiene, dimethyltetracyclododecene, ethyltetracyclododecene, ethylidenyl tetracyclododecene, phenyltetracyclododecene, trimers of cyclopentadiene (e.g., symmetrical and asymmetrical trimers), 5-hydroxy-2-norbornene, 5-hydroxymethyl-2-norbornene, 5-methoxy-2-norbornene, 5-t-butoxycarbonyl-2-norbornene, 5-methoxy-carbonyl-2-norbornene, 5-carboxy-2-norbornene, 5-carboxymethyl-2-norbornene, decanoic acid ester of 5-norbornene-2-methanol, octanoic acid ester of 5-norbornene-2-methanol, n-butyric acid ester of 5-norbornene-2-methanol, 5-triethoxysilvl-norbornene, 5-trichlorosilyl-norbornene, 5-trimethylsilyl norbornene, 5-chlorodimethylsilyl norbornene, 5-trimethoxysilyl norbornene, 5-methyldimethoxysilyl norbornene, and 5-dimethylmethoxy norbornene.
- DETD To a clean, dry 500 mL stainless steel reactor 20.0 g of 5-butylnorbornene (133 mmol) in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature, and was saturated with ethylene. To the reactor was added catalyst C (0.0346 g, 0.067 mmol) in toluene (5 mL). The reactor was then pressurized to 300 psig with ethylene. The reaction was allowed to proceed 2 h at which time the ethylene pressure was vented, the reactor was lowered and the solution was poured into an excess of MeOH to precipitate the polymer which was subsequently filtered and dried in a vacuum oven (at 80° C.) overnight, Yield 10.5 g, M. sub. w

DETD

DETD

DETD

=65,520 and M.sub.n =29,520. NMR spectroscopy confirmed the product to be a copolymer of ethylene and butylnorbornene.

To a clean, dry 500 mL stainless steel reactor

5-triethoxysilyl-norbornene (50 mL, 191 mmol) in 100 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature, and was saturated with ethylene. To the reactor was added catalyst C (0.11 g, 0.212 mmol) in toluene (7 mL). The reactor was then pressurized to 385 psig with ethylene. The reaction was allowed to proceed 2 h at which time the ethylene pressure was vented, the reactor was lowered and the solution was poured into an excess of MeOH to precipitate the polymer which was subsequently filtered and dried in a vacuum oven (at 25° C.) overnight. Yield 12.3 g. NMR spectroscopy confirmed the product to be a copolymer of ethylene and triethoxysilylnorbornene.

To a clean, dry 500 mL stainless steel reactor 40.0 g (425 mmol) of norbornene in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature and the stirred solution was saturated with ethylene. Bis(cycloctadiene)nickel(0) (0.244 g, 0.88 mmol) in toluene (4 mL) was then added to the reactor, followed by hexafluoroacetylacetone (0.14 mL, 1.0 mmol). The reactor was then pressurized to 250 psig with ethylene. The reaction was allowed to proceed 1 h at which time the ethylene pressure was vented, the reactor was lowered and the solution was added to an excess of MeOH. The copolymer product precipitated from solution and was filtered and washed with excess methanol. After drying to constant weight (overnight in a vacuum oven at 80° C.) the yield of copolymer amounted to 6.5 grams. Proton NMR confirmed the product to be a copolymer of ethylene and norbornene and the GPC data revealed the Mw to be 15,200 and the Mn 9,500. The glass

transition temperature was determined to be 210° C. by DSC. To a clean, dry 500 mL stainless steel reactor 40.0 g (425 mmol) of

norbornene in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature and the stirred solution was saturated with ethylene. Bis(cycloctadiene)nickel(0) (0.244 g, 0.88 mmol) in toluene (4 mL) and hexafluoroacetylacetone (0.14 mL, 1.0 mmol) were premixed at ambient temperature for 10 minutes and then added to the reactor. The reactor was then pressurized to 400 psig with ethylene. The reaction was allowed to proceed 1 hour at which time the ethylene pressure was vented, the reactor was lowered and the solution was added to an excess of MeOH. The copolymer product precipitated from solution and was filtered and washed with excess methanol. After drying to constant weight (overnight in a vacuum oven at 80° C.) the yield of copolymer amounted to 2.14 grams. Proton NMR confirmed the product to be a copolymer of ethylene and norbornene (77 mole percent norbornene and 23 mole

percent ethylene) and the GPC data revealed the Mw to, be 15,700 and the Mn 6,500. The glass transition temperature was determined to be 192° C. by DSC.

DETD

In a dry 50 mL flask 0.2569 q. (0.935 mmol) of bis(cyclooctadiene)nickel and 9 mL of dry, deoxygenated toluene were introduced under argon and 0.160 g. (0.905 mmol) of N-isobutylsalicylaldimine in 9 mL of toluene was gradually added from dropping funnel. Red-brown solution was formed. After 6 h an abundant pale brown precipitated Toluene was distilled off and a part of precipitate was dissolved in 43 mL of hexane. Greenish solution obtained contained .about.0.01 mmol Ni/mL. 8 mL of this solution and 2 g. (21.3 mmol) of norbornene [NB/Ni=266] in 2 mL of hexane were introduced in a reactor and the latter was pressurized to 3.7 atm. (53 psig) with ethylene. Then the reactor was placed in a thermostat at 80° C. for 4 h. After this was cooled, the ethylene pressure was vented and the solution was poured into excess of methanol

to precipitate the polymer. The latter was filtered and dried in vacuum overnight. The yield of the copolymer was 0.16 g, and proton NMR revealed that the copolymer contained 56 mole percent of norbornene and 44 mole percent of ethylene units. DSC revealed the copolymer to exhibit two glass transition points at 135 and 183° C.

#### CLM What is claimed is:

1. A method of preparing a substantially amorphous copolymer from ethylene and at least one norbornene-type monomer having the structure ##STR24## wherein R.sup.1 to R.sup.4 independently represents hydrogen, linear or branched (C.sub.1 -C.sub.10) alkyl, aromatic or saturated or unsaturated cyclic groups; a functional substituent selected from the group consisting of -- (CH.sub.2).sub.n --C(0)OR, --(CH.sub.2).sub.n --OR, --(CH.sub.2).sub.n --OC(0)R, -- (CH.sub.2).sub.n -- C(O)R and -- (CH.sub.2).sub.n -- OC(O)OR, -- (CH.sub.2).sub.n C(R).sub.2 CH(R)(C(O)OR), -- (CH.sub.2).sub.n C(R).sub.2 CH(C(O)OR).sub.2, wherein R represents hydrogen, or linear and branched (C.sub.1 to C.sub.10) alkyl; or a silvl substituent represented as follows: ##STR25## wherein R.sup.5 independently represents hydrogen, methyl, or ethyl, R.sup.6, R.sup.7, and R.sup.8 independently represent halogen selected from bromine, chlorine, fluorine, and iodine, linear or branched (C.sub.1 to C.sub.20) alkvl, linear or branched (C.sub.1 to C.sub.20) alkoxy, linear or branched (C.sub.1 to C.sub.20) alkyl carbonyloxy, linear or branched (C.sub.1 to C.sub.20) alkyl peroxy, substituted or unsubstituted (C.sub.6 to C.sub.20) aryloxy; any of R.sup.1 and R.sup.2 or R.sup.3 and R.sup.4 when taken together can form a (C.sub.1 to C.sub.10) alkylidenyl group; m is an integer from 0 to 5; n is an integer from 0 to 10; R.sup.1 and R.sup.4, taken together with the two ring carbon atoms to which they are attached, represent a saturated cyclic group of 4 to 8 carbon atoms, wherein said cyclic group can be substituted by at least one of R.sup.2 and R.sup.3; said method comprising polymerizing said monomers in the presence of a neutral nickel catalyst represented by the formula ##STR26## wherein Y is a saturated or unsaturated hydrocarbyl chain containing 1 to 3 carbon atoms where two adjoining carbon atoms may form part of a cyclic structure X is O or S, E is P, As, Sb, N or O, R and R' independently is H or C.sub.1-20 hydrocarbyl, n is 0, 1 or 2, and L is a ligand bearing the heteroatom P, N or O or alternatively R and L together form part of a chelating structure.

## CLM What is claimed is:

14. A method of preparing a substantially amorphous copolymer from ethylene and at least one norbornene-type monomer having the structure ##STR38## wherein R.sup.1 to R.sup.4 independently is a functional substituent selected from the group consisting of --(CH.sub.2).sub.n --C(O)OR, --(CH.sub.2).sub.n --OR, --(CH.sub.2).sub.n --OC(O)R, --(CH.sub.2).sub.n --C(O)R and --(CH.sub.2).sub.n --OC(O)OR, -- (CH.sub.2).sub.n C(R).sub.2 CH(R)(C(O)OR), -- (CH.sub.2).sub.n C(R).sub.2 CH(C(O)OR).sub.2, wherein R represents hydrogen, or linear and branched (C.sub.1 to C.sub.10) alkyl; or a silvl substituent represented as follows: ##STR39## wherein R.sup.5 independently represents hydrogen, methyl, or ethyl, R.sup.6, R.sup.7, and R.sup.8 independently represent halogen selected from bromine, chlorine, fluorine, and iodine, linear or branched (C.sub.1 to C.sub.20) alkyl, linear or branched (C.sub.1 to C.sub.20) alkoxy, linear or branched (C.sub.1 to C.sub.20) alkyl carbonyloxy, linear or branched (C.sub.1 to C.sub.20) alkyl peroxy, substituted or unsubstituted (C.sub.6 to C.sub.20) aryloxy; any of R.sup.1 and R.sup.2 or R.sup.3 and R.sup.4 when taken together can form a (C.sub.1 to C.sub.10) alkylidenyl group; m is an integer from 0 to 5; n is an integer from 0 to 10; R.sup.1 and

R.sup.4, taken together with the two ring carbon atoms to which they are attached, represent a saturated cyclic group of 4 to 8 carbon atoms, wherein said cyclic group can be substituted by at least one of R.sup.2 and R.sup.3; said method comprising polymerizing said monomers in the presence of a neutral nickel catalyst represented by the formula ##STR4O## wherein Y is a saturated or unsaturated hydrocarbyl chain containing 1 to 3 carbon atoms where two adjoining carbon atoms may form part of a cyclic structure X is 0 or S, E is P, As, Sb, N or O, R and R' independently is H or C.sub.1-20 hydrocarbyl, n is 0, 1 or 2, and L is a ligand bearing the heteroatom P, N or O or alternatively R and L together form part of a chelating structure in which case L can be a C.dbd.C double bond.

=> s (sily1?(3a)carboxylate#)(4a)(norbornen? or bicyclo[2.2.1]heptene? or bicyclo[2.2.1]hept-2-ene?)

L8 3 (SILYL?(3A) CARBOXYLATE#)(4A)(NORBORNEN? OR BICYCLO[2.2.1]HEPTEN E? OR BICYCLO[2.2.1]HEPT-2-ENE?)

=> d 18 1-3 ibib abs

L8 ANSWER 1 OF 3 USPATFULL on STN

ACCESSION NUMBER: 2008:172219 USPATFULL

TITLE: MULTIPLE PATTERNING USING PATTERNABLE LOW-k DIELECTRIC

MATERIALS

INVENTOR(S): Lin, Qinghuang, Yorktown Heights, NY, UNITED STATES

PATENT ASSIGNEE(S): INTERNATIONAL BUSINESS MACHINES CORPORATION, Armonk, NY, UNITED STATES (U.S. corporation)

NI, UNITED STATES (U.S. corporation

APPLICATION INFO.: US 2008-29848 Al 20080212 (12)
RELATED APPLN. INFO.: Continuation of Ser. No. US 2004-821044, filed on 8 Apr

2004, Pat. No. US 7355384

DOCUMENT TYPE: Utility

FILE SEGMENT: APPLICATION

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NUMBER OF CLAIMS: 25 EXEMPLARY CLAIM: 1

NUMBER OF DRAWINGS: 2 Drawing Page(s)

LINE COUNT: 1334

A method of double patterning a semiconductor structure with a single material which after patterning becomes a permanent part of the semiconductor structure. More specifically, a method to form a patterned semiconductor structure with small features is provided which are difficult to obtain using conventional exposure lithographic processes. The method of the present invention includes the use of patternable low-k dielectric materials which after patterning remain as a low k dielectric material within the semiconductor structure. The method is useful in forming semiconductor interconnect structures in which the patternable low k dielectric materials after patterning and curing become a permanent element, e.g., a patterned interlayer low k dielectric material, of the interconnect structure.

L8 ANSWER 2 OF 3 USPATFULL on STN

ACCESSION NUMBER: 2001:107815 USPATFULL

TITLE: Method of forming a patterned organic dielectric layer

on a substrate

INVENTOR(S): Lin, Qinghuang, Wappingers Falls, NY, United States Mih, Rebecca D., Wappingers Falls, NY, United States

PATENT ASSIGNEE(S): Patent Assignee(S): International Business Machines Corporation, Armonk,

NY, United States (U.S. corporation)

GRANTED

DOCUMENT TYPE: FILE SEGMENT:

PRIMARY EXAMINER: Nelms, David
ASSISTANT EXAMINER: Berry, Renee' R.

LEGAL REPRESENTATIVE: Scully, Scott, Murphy & Presser, Capella, Esq., Steven NUMBER OF CLAIMS: 23

NUMBER OF CLAIMS: 23 EXEMPLARY CLAIM: 1

NUMBER OF DRAWINGS: 6 Drawing Figure(s); 2 Drawing Page(s)

LINE COUNT: 488

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB An organic dielectric material is patterned on a substrate in a process utilizing a patterned resist which contains a metalloid or metallic element at the time of pattern transfer to the organic dielectric layer. The organic dielectric layer is preferably patterned using an oxygen etching process, most preferably oxygen reactive ion etching. The process advantageously avoids the need for a hard mask.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 3 OF 3 USPATFULL on STN

ACCESSION NUMBER: 2001:47730 USPATFULL

TITLE: Resist composition and process of forming a patterned

resist layer on a substrate
INVENTOR(S): Lin, Qinghuang, Wappingers Falls, NY, United States

Hughes, Timothy M., Marlboro, NY, United States Jordhamo, George M., Hopewell Junction, NY, United

Katnani, Ahmad D., Poughkeepsie, NY, United States Moreau, Wayne M., Wappingers Falls, NY, United States

Patel, Niranjan, Fremont, CA, United States
PATENT ASSIGNEE(S): International Business Machines Corporation, Armonk,

NY, United States (U.S. corporation)

DOCUMENT TYPE: Utility
FILE SEGMENT: Granted
PRIMARY EXAMINER: Chu, John S.
ASSISTANT EXAMINER: Clarke, Yvette M.

ASSISTANT EXAMINER: Clarke, Yvette M.
LEGAL REPRESENTATIVE: Scully, Scott, Murphy & Presser, Capella, Esq., Steven

NUMBER OF CLAIMS: 26 EXEMPLARY CLAIM: 1 LINE COUNT: 691

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB A radiation sensitive resist composition exhibiting high resolution and enhanced etch resistance comprising a silicon containing polymeric

additive, a non-silicon containing base polymer, a photoacid generator and a base is provided. A method of forming a patterned resist film is also provided. A resist film having an upper surface region enriched with silicon is also disclosed.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

#### => d 18 3 ibib hit

L8 ANSWER 3 OF 3 USPATFULL on STN

ACCESSION NUMBER: 2001:47730 USPATFULL

TITLE:

Resist composition and process of forming a patterned

resist layer on a substrate

Lin, Qinghuang, Wappingers Falls, NY, United States INVENTOR(S):

Hughes, Timothy M., Marlboro, NY, United States Jordhamo, George M., Hopewell Junction, NY, United

States

Katnani, Ahmad D., Poughkeepsie, NY, United States Moreau, Wayne M., Wappingers Falls, NY, United States

Patel, Niranjan, Fremont, CA, United States

PATENT ASSIGNEE(S): International Business Machines Corporation, Armonk, NY, United States (U.S. corporation)

NUMBER KIND DATE PATENT INFORMATION: US 6210856 B1 20010403 US 1999-238823 19990127 (9)

APPLICATION INFO.: DOCUMENT TYPE: Utility FILE SEGMENT: Granted

PRIMARY EXAMINER: Chu, John S. ASSISTANT EXAMINER: Clarke, Yvette M.

LEGAL REPRESENTATIVE: Scully, Scott, Murphy & Presser, Capella, Esq., Steven NUMBER OF CLAIMS: 26

EXEMPLARY CLAIM: LINE COUNT: 691

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

SUMM Preferred monomers containing silicon-containing substituents are trimethylsilyl alkyl acrylate, trimethylsilyl alkyl methacrylate,

trimethylsilyl alkyl itaconate, tris(trimethylsilyl)silyl alkyl acrylate tris(trimethylsilyl)silyl alkyl methacrylate, tris(trimethylsilyl)silyl

alkyl itaconate, tris(trimethylsilyloxy)silyl alkyl acrylate,

tris(trimethylsilyloxy)silyl alkyl methacrylate,

tris(trimethylsilvloxy)silvl alkvl itaconate, alkylsilvl styrene, trimethylsilvlmethyl(dimethoxy)silvloxy alkyl acrylate,

trimethylsilylmethyl(dimethoxy)silyloxy alkyl methacrylate,

trimethylsilylmethyl(dimethoxy)silyloxy alkyl itaconate, trimethylsilyl

alkyl norbornene-5-carboxylate alkyl, tris(trimethylsilyl)silyl alkyl norbornene-5-

carboxylate and tris(trimethylsilyloxy)silyl alkyl

norbornene-5-carboxylate, wherein alkyl is a C.sub.1-5 moietv.

# => d his

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FILE 'INPADOCDB, CAPLUS' ENTERED AT 16:10:26 ON 12 DEC 2008
             1 S WO 1999/014635/PN
L2
              2 S EP 1021750/PN
     FILE 'STNGUIDE' ENTERED AT 16:12:44 ON 12 DEC 2008
     FILE 'USPATFULL, USPATOLD, USPAT2, CAPLUS, JAPIO' ENTERED AT 16:16:33 ON
    12 DEC 2008
L3
              0 S TRIMETHYLSILYL!!!!!!!!!TETRACYCLO!!!!!!CARBOXYLATE
L4
              0 S TRIMETHYLSILYL!!!!!!!!!!TETRACYCLO!!!!!! CARBOXYLATE
L.5
             66 S TRIMETHYLSILYL (1W) NORBORNEN?
L6
        329756 S (ETHYLENE OR ETHENE) (4A) (COPOLYMER# OR TERPOLYMER#)
L7
              9 S L5 AND L6
L8
             3 S (SILYL?(3A)CARBOXYLATE#)(4A)(NORBORNEN? OR BICYCLO[2.2.1]HEPT
=> s (trimethoxysilyl? or trialkoxysilyl? or alkoxysilyl?) (2a)norbornen?
L9
           92 (TRIMETHOXYSILYL? OR TRIALKOXYSILYL? OR ALKOXYSILYL?) (2A) NORBOR
              NENZ
=> s 16 and 19
L10
           27 L6 AND L9
=> d 110 1-27 ibib abs
L10 ANSWER 1 OF 27 USPATFULL on STN
ACCESSION NUMBER:
                       2007:128801 USPATFULL
TITLE:
                       Novel (co)polymer, process for producing the same, and
                        process for producing carboxylated (co)polymer
INVENTOR(S):
                       Hayakawa, Toshiyuki, Tokyo, JAPAN
                       Hattori, Iwakazu, Tokyo, JAPAN
                       Ookubo, Akihiko, Tokyo, JAPAN
PATENT ASSIGNEE(S):
                       JSR Corporation, Tokyo, JAPAN, 104-0045 (non-U.S.
                       corporation)
                            NUMBER KIND DATE
PATENT INFORMATION:
                       US 20070112158 A1 20070517
APPLICATION INFO.:
                       US 2004-578138 A1 20041115 (10)
                       WO 2004-JP16944
                                               20041115
                                               20060503 PCT 371 date
                              NUMBER DATE
PRIORITY INFORMATION:
                       JP 2003-387656
                                         20031118
DOCUMENT TYPE:
                       Utility
FILE SEGMENT:
                       APPLICATION
LEGAL REPRESENTATIVE:
                       OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C., 1940
                       DUKE STREET, ALEXANDRIA, VA, 22314, US
NUMBER OF CLAIMS:
                       10
EXEMPLARY CLAIM:
NUMBER OF DRAWINGS:
                       1 Drawing Page(s)
LINE COUNT:
                       1536
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
       Disclosed herein are a novel (co)polymer having a silyl carboxylate
       residue, a production process thereof and a production process of a
      carboxyl group-containing (co) polymer. A (co)polymer of the invention
      comprises a structural unit represented by the following general formula
      (1). Another (co)polymer of the invention comprises a structural unit
       represented by the following general formula (2). ##STR1## wherein
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n is 0 or 1, R.sup.1, R.sup.2, R.sup.3 and R.sup.4 mean, independently of one another, a hydrogen atom, halogen atom or monovalent organic group, and X denotes an ethylene or vinylene group.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 2 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2006:47619 USPATFULL

TITLE: Polycycloolefin polymeric compositions for

semiconductor applications

INVENTOR(S): Ravikiran, Ramakrisha, Strongsville, OH, UNITED STATES

Ng., Hendra, Highland Heights, OH, UNITED STATES Puthenkovilakom, Rajesh Raja, North Rovalton, OH,

UNITED STATES

Zhang, Linda, Broadview Heights, OH, UNITED STATES

Amoroso, Dino, Medina, OH, UNITED STATES Knapp, Brian, Medina, OH, UNITED STATES

Bell, Andrew, Lakewood, OH, UNITED STATES Rhodes, Larry F., Silver Lake, OH, UNITED STATES

NUMBER KIND DATE

US 20060041093 A1 20060223
US 2005-204685 A1 20050816 (11)

NUMBER DATE

PRIORITY INFORMATION: US 2004-602364P 20040818 (60)

DOCUMENT TYPE: Utility

FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: Nestor W. Shust, Hudak, Shunk & Farine Co. L.P.A.,

Suite 307, 2020 Front St., Cuyahoga Falls, OH, 44221,

NUMBER OF CLAIMS: 26
EXEMPLARY CLAIM: 1

PATENT INFORMATION:

APPLICATION INFO.:

LINE COUNT: 1624

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Polymeric compositions for semiconductor applications comprising 10 to 99 weight % of norbornene-type cycloolefin monomers represented by one or more of Formulae I(a), I(b), and optionally I(c) and/or I(d), 0.0005 to 0.5 weight % of an addition polymerization procatalyst, and optionally: up to 0.5 weight % of a cocatalyst, up to 59 weight % of a crosslinking monomer, up to 50 weight % of a viscosifier, up to 20 weight % of a thixotropic additive(s), up to 80 weight % of a filler, up to 10 weight % of an antioxidant, and up to 0.6 weight % of an antioxidant synergist, the total of the components of the formulation adding up to 100%. Such formulations are mass polymerized, or cured, to form polymeric compositions that have properties desirable for a variety of specific electronic, microelectronic, optoelectronic and micro-optoelectronic applications such as die attach adhesives, underfill materials, prepreg binders, encapsulants, protective layers, and other related applications.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 3 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2005:259858 USPATFULL

TITLE: Objective lens and optical pickup apparatus

INVENTOR(S): Ohta, Tatsuo, Otsuki-shi, JAPAN

Nozaki, Takashi, Tokyo, JAPAN

PATENT ASSIGNEE(S): KONICA MINOLTA OPTO, INC. (non-U.S. corporation)

	NUMBER	KIND	DATE	
-				
PATENT INFORMATION: U	S 20050225879	A1	20051013	
U	S 7161744	B2	20070109	
APPLICATION INFO.: U	S 2005-97159	A1	20050404	(11)

NUMBER DATE

PRIORITY INFORMATION: JP 2004-113166 20040407

DOCUMENT TYPE: Utility

FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP, 901 NEW YORK AVENUE, NW, WASHINGTON, DC, 20001-4413, US

15 NUMBER OF CLAIMS:

EXEMPLARY CLAIM: NUMBER OF DRAWINGS: 7 Dr. 3976 7 Drawing Page(s)

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

An objective lens for converging a monochromatic light flux having a specific wavelength in a range of 350 to 450 nm on an optical information recording medium while the objective lens is placed facing

the optical information recording medium, the objective lens comprising: a lens body containing a polymer resin having an alicyclic structure; a first film having a refractive index of less than 1.7 for light having a wavelength of 405 nm; a second film having a refractive index of 1.7 or more for the light having the wavelength of 405 nm; and a third film having a refractive index of 1.55 or less for the light having the wavelength of 405 nm, wherein: the first film, the second film and the third film are provided on the lens body; the first film is provided between the lens body and the second film; the second film is provided between the first film and the third film; and a thickness of the first film is in a range of 50 to 15000 nm.

CAS INDEXING IS AVAILABLE FOR THIS PATENT. L10 ANSWER 4 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2005:253191 USPATFULL

Objective lens and optical pickup apparatus TITLE:

INVENTOR(S): Ohta, Tatsuo, Otsuki-shi, JAPAN

Nozaki, Takashi, Tokyo, JAPAN

PATENT ASSIGNEE(S): KONICA MINOLTA OPTO, INC. (non-U.S. corporation)

NUMBER KIND DATE \_\_\_\_\_\_ US 20050219683 A1 20051006 US 2005-92808 A1 20050330 (11) PATENT INFORMATION: APPLICATION INFO.:

NUMBER DATE JP 2004-109917 20040402 PRIORITY INFORMATION:

DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP, 901 NEW YORK AVENUE, NW, WASHINGTON, DC, 20001-4413, US

19 NUMBER OF CLAIMS: EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 5 Drawing Page(s)

LINE COUNT: 3815 AB An objective lens for converging a monochromatic light flux having a specific wavelength in a range of 350 to 450 nm on an optical information recording medium while the objective lens is placed facing the optical information recording medium, the objective lens containing a lens body made of a polymer resin having an alicyclic structure; and an antireflection film including one or more layers provided on a surface of the lens body facing to the optical information recording medium, wherein a refractive index of the antireflection film for a light flux having a wavelength of 405 nm is less than 1.7.

L10 ANSWER 5 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2005:125162 USPATFULL

TITLE: Cyclic olefin addition copolymer and process for

producing same, crosslinking composition, crosslinked product and process for producing same, and optically

transparent material and application thereof

INVENTOR(S): Oshima, Noboru, Tokyo, JAPAN Maruvama, Yooichiroh, Tokvo, JAPAN

Kaizu, Michitaka, Tokvo, JAPAN Sawada, Katsutoshi, Tokyo, JAPAN Hayashi, Toshihiro, Tokyo, JAPAN Ohkita, Kenzo, Tokyo, JAPAN

JSR CORPORATION, Chuo-ku, JAPAN (non-U.S. corporation) PATENT ASSIGNEE(S):

NUMBER KIND DATE -----PATENT INFORMATION: US 20050107552 A1 20050519 US 6992154 B2 20060131 US 2004-969065 A1 20041021 (10)

APPLICATION INFO.: Division of Ser. No. US 2002-241578, filed on 12 Sep RELATED APPLN. INFO.:

2002, GRANTED, Pat. No. US 6844403

NUMBER DATE

JP 2001-277847 20010913 PRIORITY INFORMATION: JP 2001-336593 20011101 JP 2002-15388 20020124 DOCUMENT TYPE: Utility

APPLICATION FILE SEGMENT:

LEGAL REPRESENTATIVE: OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C., 1940

DUKE STREET, ALEXANDRIA, VA, 22314, US

NUMBER OF CLAIMS: 16
EXEMPLARY CLAIM: 1-22
NUMBER OF DRAWINGS: 12 Drawing Page(s)
1998
1998

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

The present invention provides a cyclic olefin addition copolymer which has a reactive silvl group having a specific structure, excels in optical transparency, heat resistance, and adhesion, and is capable of producing a crosslinked product having improved dimensional stability, solvent resistance, and chemical resistance. The present invention also provides a process for producing the cyclic olefin addition copolymer, a crosslinking composition, a crosslinked product and a process for producing the same, and an optically transparent material (transparent resin film) comprising the cyclic olefin addition copolymer. The optically transparent material excels in optical transparency and heat resistance, exhibits improved dimensional stability, adhesion, solvent resistance, and chemical resistance, and is capable of improving fragility and preventing occurrence of cracks in the film.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 6 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2004:308106 USPATFULL

TITLE: Rubber composition and vulcanized rubber INVENTOR(S): Nakano, Sadayuki, Ichihara-shi, JAPAN

Sassa, Tatsuo, Ichihara-shi, JAPAN Kawashima, Jun, Ichihara-shi, JAPAN

PATENT ASSIGNEE(S): SUMITOMO CHEMICAL COMPANY, LIMITED (non-U.S.

corporation)

 NUMBER
 KIND
 DATE

 PATENT INFORMATION:
 US 20040242782
 A1 20041202

 APPLICATION INFO:
 US 2004-852154
 A1 20040525
 (10)

NUMBER DATE

PRIORITY INFORMATION: JP 2003-152083 20030529 JP 2003-152084 20030529

DOCUMENT TYPE: Utility
FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: SUGHRUE MION, PLLC, 2100 PENNSYLVANIA AVENUE, N.W.,

SUITE 800, WASHINGTON, DC, 20037

NUMBER OF CLAIMS: 8
EXEMPLARY CLAIM: 1
LINE COUNT: 693

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

B A rubber composition comprising the following components (A), (B1) or (B2), and (C):

 (A) an ethylene-α-olefin copolymer rubber and/or an ethylene-α-olefin-non-conjugated diene copolymer rubber,

(B1) an organic compound having a weight average molecular weight of from 1,000 to 1,000,000, and containing a silicon atom in an amount of from 2 to 30% by weight, wherein the total amount of the organic compound is 100% by weight, or [82] an ethylene -a-olefin-silylnorbornene copolymer rubber, and

(C) a reinforcement; and vulcanized rubber produced by a process comprising the step of vulcanizing said rubber composition.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 7 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2004:139564 USPATFULL

TITLE: Resin film and applications thereof INVENTOR(S): Kanamori, Tarou, Tokyo, JAPAN Kawahara, Kouji, Tokyo, JAPAN

Kawahara, Kouji, Tokyo, JAPAN Hashiguchi, Yuuichi, Tokyo, JAPAN Maruyama, Yooichiroh, Tokyo, JAPAN Oshima, Noboru, Tokyo, JAPAN

PATENT ASSIGNEE(S): JSR CORPORATION, Tokyo, JAPAN (non-U.S. corporation)

 US 6790914 B2 20040914

US 2002-305959 A1 20021129 (10) APPLICATION INFO .:

DOCUMENT TYPE: Utility

FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C., 1940

DUKE STREET, ALEXANDRIA, VA, 22314

NUMBER OF CLAIMS: 20 EXEMPLARY CLAIM: LINE COUNT: 1839

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A film for a display device produced by using a transparent (crosslinked) resin film formed of a composition including a cyclic olefin addition copolymer of the present invention has optical transparency, heat resistance, liquid crystal resistance, dimensional stability, and adhesion and is suitably used as an alternative to a glass substrate of a liquid crystal display device and an EL display device. The transparent (crosslinked) resin film can be used as a polarizing film, surface protective film, retardation film, transparent conductive film, light diffusion film, film for an EL display device, transparent conductive composite material, antireflection film, and the like.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

I.10 ANSWER 8 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2004:83417 USPATFULL

TITLE: Processes for producing cycloolefin addition polymer

INVENTOR(S): Ohkita, Kenzo, Tokyo, JAPAN Oshima, Noboru, Tokyo, JAPAN

Imamura, Takashi, Tokyo, JAPAN Tsubouchi, Takashi, Tokyo, JAPAN

	NUMBER	KIND	DATE	
PATENT INFORMATION:	US 20040063873	A1	20040401	
	US 6911507	B2	20050628	
APPLICATION INFO.:	US 2003-466429	Al	20030716	(10)
	WO 2002-JP453		20020123	

			NUMBER	DATE
PRIORITY	INFORMATION:	JP	2001-15318	20010124
		JP	2001-227248	20010727
		JΡ	2001-227249	20010727
DOCUMENT	TYPE:	IIt i	lity	

Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C., 1940

DUKE STREET, ALEXANDRIA, VA, 22314

NUMBER OF CLAIMS: 13 EXEMPLARY CLAIM: 1 1454 LINE COUNT:

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A cyclic olefin having a specific polar group is polymerized by addition polymerization in a hydrocarbon solvent, using a polymerization catalyst component containing (i) a specific transition metal compound, (ii) a Lewis acid compound and (iii) an alkyl aluminoxane, or the cyclic olefin is polymerized by addition polymerization in the hydrocarbon solvent, using the polymerization catalyst component, by further adding at least one aromatic vinyl compound and at least one cyclic nonconjugated polyene compound, or either one of them as a molecular weight modifier,

thereby obtaining a cyclic olefinic addition polymer.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 9 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2004:11278 USPATFULL

TITLE: Self-healing polymer compositions

INVENTOR(S): Skipor, Andrew, West Chicago, IL, UNITED STATES

Scheifer, Steve, Hoffman Estates, IL, UNITED STATES Olson, Bill, Lake Villa, IL, UNITED STATES

PATENT ASSIGNEE(S): Motorola, Inc. (U.S. corporation)

NUMBER KIND DATE \_\_\_\_\_\_ US 20040007784 A1 20040115 US 7108914 B2 20060919 US 2002-195858 A1 20020715 (10) PATENT INFORMATION: APPLICATION INFO.:

DOCUMENT TYPE: Utility

FILE SEGMENT: APPLICATION

NUMBER OF CLAIMS: 42

EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 5 Drawing Page(s)

LINE COUNT: 1111

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A self-healing polymer composition 10 containing a polymer media 12 and a plurality of microcapsules of flowable polymerizable material 16 dispersed in the polymer media 12, where the microcapsules of flowable polymerizable material 16 contain a flowable polymerizable material 15 and have an outer surface 142 upon which at least one polymerization agent 13 is attached. The microcapsules 16 are effective for rupturing with a failure of the polymeric media 12, and the flowable polymerizable material 15 reacts with the polymerization agent 13 when the polymerizable material 15 makes contact with the polymerization agent 13 upon rupture of the microcapsules 14. There is also provided a method of using the self-healing polymeric composition 10 to repair fractures in polymers, as well as articles of manufacture including the self-healing system, and the microencapsulated polymerizable particles 16 themselves.

#### CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 10 OF 27 USPATFULL on STN

INVENTOR(S):

ACCESSION NUMBER: 2003:188659 USPATFULL

TITLE: Copolymers of ethylene with various

norbornene derivatives

Johnson, Lynda Kaye, Wilmington, DE, UNITED STATES

Wang, Lin, Hockessin, DE, UNITED STATES

NUMBER KIND DATE PATENT INFORMATION: US 20030130452 A1 20030710 APPLICATION INFO.: US 2002-269151 A1 20021011 (10)

NUMBER DATE PRIORITY INFORMATION: US 2001-328736P 20011012 (60)

DOCUMENT TYPE: Utility APPLICATION FILE SEGMENT:

LEGAL REPRESENTATIVE: E I DU PONT DE NEMOURS AND COMPANY, LEGAL PATENT

RECORDS CENTER, BARLEY MILL PLAZA 25/1128, 4417

LANCASTER PIKE, WILMINGTON, DE, 19805

NUMBER OF CLAIMS: 6
EXEMPLARY CLAIM: 1
LINE COUNT: 112

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

B Sthylene and norbornene-type monomers are efficiently copolymerized by certain metal complexes, particularly nickel complexes, containing selected anionic and neutral bidentate ligands. The polymerization process is tolerant of polar functionality on the norbornene-type monomer and can be carried out at elevated temperatures.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 11 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2003:174113 USPATFULL

TITLE: Cyclic olefin addition copolymer and process for

producing same, crosslinking composition, crosslinked product and process for producing same, and optically

transparent material and application thereof

INVENTOR(S): Oshima, Noboru, Tokyo, JAPAN

Maruyama, Yooichiroh, Tokyo, JAPAN Kaizu, Michitaka, Tokyo, JAPAN Sawada, Katsutoshi, Tokyo, JAPAN Hayashi, Toshihiro, Tokyo, JAPAN

Ohkita, Kenzo, Tokyo, JAPAN

PATENT ASSIGNEE(S): JSR CORPORATION, Tokyo, JAPAN (non-U.S. corporation)

NUMBER KIND DATE

PATENT INFORMATION: US 20030119961 A1 20030626
US 6844403 B2 20050118
APPLICATION INFO:: US 2002-241578 A1 20020912 (10)

DOCUMENT TYPE: Utility

FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C., 1940

DUKE STREET, ALEXANDRIA, VA, 22314

NUMBER OF CLAIMS: 37 EXEMPLARY CLAIM: 1

NUMBER OF DRAWINGS: 12 Drawing Page(s)

LINE COUNT: 2257

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

As The present invention provides a cyclic olefin addition copolymer which has a reactive silyl group having a specific structure, excels in optical transparency, heat resistance, and adhesion, and is capable of producing a crosslinked product having improved dimensional stability, solvent resistance, and chemical resistance. The present invention also provides a process for producing the cyclic olefin addition copolymer, a crosslinking composition, a crosslinked product and a process for producing the same, and an optically transparent material (transparent resin film) comprising the cyclic olefin addition copolymer. The optically transparent material excels in optical transparency and heat resistance, exhibits improved dimensional stability, adhesion, solvent resistance, and chemical resistance, and is capable of improving

fragility and preventing occurrence of cracks in the film.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 12 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2002:236190 USPATFULL

TITLE: Thermoplastic resin composition and shaped articles

INVENTOR(S): Zen, Shinichiro, Tokyo, JAPAN

Shimizu, Akira, Mie-Ken, JAPAN
PATENT ASSIGNEE(S): JSR CORPORATION, Tokyo, JAPAN (non-U.S. corporation)

APPLICATION INFO.: US 2002-40351 A1 2002

NUMBER DATE

PRIORITY INFORMATION: JP 2001-3745 20010111

DOCUMENT TYPE: Utility

FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC, FOURTH

FLOOR, 1755 JEFFERSON DAVIS HIGHWAY, ARLINGTON, VA, 22202

NUMBER OF CLAIMS: 11 EXEMPLARY CLAIM: 1

LINE COUNT: 974

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Described are a thermoplastic resin composition containing (A): one or more rubber reinforced thermoplastic resins, (B): one or more acrylic resins and (C): one or more thermoplastic norbornene resins, and further containing (D): one or more styrenic resins other than (A) and (E): coloring agents as needed, and a shaped article obtained by forming the composition. Described thermoplastic resin composition is excellent in heat resistance, strength and processability, and excellent in laser

marking properties, so that it is useful for various applications.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 13 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2001:117111 USPATFULL

TITLE: Method for the preparation of copolymers of ethylene/norbornene-type monomers with cationic

palladium catalysts

INVENTOR(S): Goodall, Brian Leslie, Akron, OH, United States

McIntosh, III, Lester Howard, Cuyahoga Falls, OH,

United States

PATENT ASSIGNEE(S): The B. F. Goodrich Company, Brecksville, OH, United

States (U.S. corporation)

 NUMBER
 KIND
 DATE

 PATENT INFORMATION:
 US 6265506
 B1
 20010724

 APPLICATION INFO:
 US 1998-94349
 19980609
 (9)

RELATED APPLN. INFO.: Continuation-in-part of Ser. No. US 1997-876538, filed

on 9 Jun 1997, now abandoned

DOCUMENT TYPE: Utility FILE SEGMENT: GRANTED

PRIMARY EXAMINER: Wu, David W. ASSISTANT EXAMINER: Rabago, R.

LEGAL REPRESENTATIVE: Dunlap, Thoburn T., Shust, Nestor W. NUMBER OF CLAIMS: 15

EXEMPLARY CLAIM: LINE COUNT: 1637

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A method of preparing generally amorphous copolymers of ethylene and at least one norbornene (NB)-type comonomer. These polymers may be random or alternating, depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a cationic palladium catalyst resulting from reacting a chelating ligand with a palladium (II) compound. The catalysts employed in this invention may be represented by the formula: ##STR1##

## wherein

X and Y each independently is a donor heteroatom selected from P, N, O, S and As or an organic group containing said heteroatoms, and the heteroatoms are bonded to the bridging group A;

A is a divalent group selected from an organic group and phosphorus forming together with X, Y and Pd a 4, 5, 6, or 7-membered ring, and preferably a 5-membered ring;

R is a hydrocarbyl group; and

CA is a weakly coordinating anion.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 14 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2001:33480 USPATFULL

TITLE: Method for the preparation of copolymers of ethylene/norbornene-type monomers with nickel

catalysts

INVENTOR(S): Makovetsky, Kiryll Lvovich, Moscow, Russian Federation

Finkelshtein, Eugenv Shmerovich, Moscow, Russian

Federation

Bykov, Viktor Ivanovich, Moscow, Russian Federation Bagdasaryan, Andrey Khristoforovich, Moscow, Russian

Federation Rhodes, Larry Funderburk, Silver Lake, OH, United

States

PATENT ASSIGNEE(S): The B. F. Goodrich Company, Charlotte, NC, United

States (U.S. corporation)

A.V. Topchiev Institute of Petrochemical Synthesis,

Topchiev, Russian Federation (non-U.S. corporation)

NUMBER KIND DATE US 6197984 B1 20010306

APPLICATION INFO.: US 1999-305942 19990506 (9) RELATED APPLN. INFO.: Division of Ser. No. US 1997-871245, filed on 9 Jun

1997, now patented, Pat. No. US 5929181

DOCUMENT TYPE: Utility

PATENT INFORMATION:

FILE SEGMENT: Granted
PRIMARY EXAMINER: Nazario-Gonzalez, Porfirio

LEGAL REPRESENTATIVE: Hudak & Shunk Co., LPA, Dunlap, Thoburn T.

NUMBER OF CLAIMS: 3 EXEMPLARY CLAIM: 1 LINE COUNT: 1345

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB A method of preparing amorphous copolymers of ethylene

and at least one norbornene (NB)-type commonomer is disclosed. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula #\$STR1##

wherein Y may be a saturated or unsaturated hydrocarbyl chain, X may be oxygen or sulfur, E may be phosphorus, arsenic, antimony, oxygen or nitrogen, R and R' independently each is hydrogen or a hydrocarbyl group, L is a ligand containing a heteroatom P, N or O or L and R together with L may form part of a chelating structure in which case L is an ethylenic double bond.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 15 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2000:125116 USPATFULL

TITLE: Photodefinable dielectric compositions comprising

polycyclic polymers

INVENTOR(S): Shick, Robert A., Strongsville, OH, United States
Jayaraman, Saikumar, Twinsburg, OH, United States

Elce, Edmund, Akron, OH, United States

Goodall, Brian L., Akron, OH, United States

PATENT ASSIGNEE(S): The B. F. Goodrich Company, NY, United States (U.S. corporation)

NUMBER DATE

PRIORITY INFORMATION: US 1996-30410P 19961104 (60)

DOCUMENT TYPE: Utility

FILE SEGMENT: Granted
PRIMARY EXAMINER: Berman, Susan W.

LEGAL REPRESENTATIVE: Dunlap, Thoburn T.
NUMBER OF CLAIMS: 33

NUMBER OF CLAIMS: 33 EXEMPLARY CLAIM: 1

LINE COUNT: 3578

AB

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

The present invention relates to a photodefinable dielectric composition comprising a photoinitiator and a polycyclic addition polymer comprising polycyclic repeating units that contain pendant silyl functionalities containing hydrolyzable substituents. Upon exposure to a radiation source the photoinitiator catalyzes the hydrolyzable groups to effect the cure of the polymer and adhesion of the polymer to desired substrates.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 16 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2000:24732 USPATFULL

TITLE: Addition polymers of polycycloolefins containing silvl

functional groups

INVENTOR(S): McIntosh, III, Lester H., Cuvahoga Falls, OH, United

States

Goodall, Brian L., Akron, OH, United States

Shick, Robert A., Strongsville, OH, United States
Javaraman, Saikumar, Cuyahoga Falls, OH, United States

PATENT ASSIGNEE(S): The B.F. Goodrich Company, Richfield, OH, United States

(U.S. corporation)

PATENT INFORMATION: US 6031058 20000229 APPLICATION INFO.: US 1999-263930 19990308 (9)

RELATED APPLN. INFO.: Continuation of Ser. No. US 1995-562345, filed on 22

Nov 1995, now patented, Pat. No. US 5912313

DOCUMENT TYPE: Utility
FILE SEGMENT: Granted

PRIMARY EXAMINER: Zitomer, Fred LEGAL REPRESENTATIVE: Dunlap, Thoburn T.

NUMBER OF CLAIMS: 45 EXEMPLARY CLAIM: 32

NUMBER OF DRAWINGS: 7 Drawing Figure(s); 7 Drawing Page(s)

LINE COUNT: 4162

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Silyl substituted polymers of polycycloolefins are provided as well as catalyst systems for their preparation. The polymers of the invention include polycyclic repeat units that contain pendant silyl functional groups represented by the following formulae: #\$STR1## wherein A is a divalent radical selected from the following structures: #\$STR2## R. sup.9 independently represents hydrogen, methyl, or ethyl; R.sup.10, R.sup.11, and R.sup.12 independently represent halogen, linear or branched (C.sub.1 to C.sub.20) alkyl, linear or branched (C.sub.1 to C.sub.20) alkyl, carbonyloxy, (C.sub.1 to C.sub.20) alkyl peroxy, and substituted or unsubstituted (C.sub.6 to C.sub.20) aryloxy; R.sup.10, R.sup.11, and R. sup.12 together with the silicon atom to which they are attached form the group: #\$STR3## n is a number from 0 to 5; and n' is 0 or 1; and n" is a number from 0 to 10.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 17 OF 27 USPATFULL on STN

ACCESSION NUMBER: 1999:85532 USPATFULL

TITLE: Method for preparation of copolymers of

ethylene/norbornene-type monomers with nickel

catalysts

INVENTOR(S): Makovetsky, Kiryll Lvovich, Moscow, Russian Federation

Finkelshtein, Eugeny Shmerovich, Moscow, Russian

Federation

Bykov, Viktor Ivanovich, Moscow, Russian Federation Bagdasaryan, Andrey Khristoforovich, Moscow, Russian

Federation

Goodall, Brian Leslie, Akron, OH, United States

Goodall, Brian Leslie, Akron, OH, United States Rhodes, Larry Funderburk, Silver Lake, OH, United

States
The B.F.Goodrich Co., Richfield, OH, United States

PATENT ASSIGNEE(S): The B.F.Goodrich Co

(U.S. corporation)

A.V. Topchiev Institute of Petrochemical Synthesis, A.V.Topchiev, Russian Federation (non-U.S. corporation)

NUMBER KIND DATE PATENT INFORMATION: US 5929181 19990727 APPLICATION INFO.: US 1997-871245 19970609 (8) APPLICATION INFO.: DOCUMENT TYPE: Utility FILE SEGMENT: Granted
PRIMARY EXAMINER: Zitomer, Fred LEGAL REPRESENTATIVE: Shust, Nestor W. NUMBER OF CLAIMS: 26 EXEMPLARY CLAIM: 1 LINE COUNT: 1728

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A method of preparing amorphous copolymers of ethylene and at least one norbornene (NB)-type comonomer is disclosed. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula ##STR1## wherein Y may be a saturated or unsaturated hydrocarbyl chain, X may be oxygen or sulfur, E may be phosphorus, arsenic, antimony, oxygen or nitrogen, R and R' independently each is hydrogen or a hydrocarbyl group, L is a ligand containing a heteroatom P, N or O or L and R together with L may form part of a chelating structure in which case L is an ethylenic double bond.

# CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 18 OF 27 USPATFULL on STN

ACCESSION NUMBER: 1999:67332 USPATFULL

TITLE: Addition polymers of polycycloolefins containing silyl

functional groups

INVENTOR(S): McIntosh, III, Lester H., Cuyahoga Falls, OH, United

States

Goodall, Brian L., Akron, OH, United States

Shick, Robert A., Strongsville, OH, United States

Jayaraman, Saikumar, Cuyahoga Falls, OH, United States PATENT ASSIGNEE(S): The B. F. Goodrich Company, Akron, OH, United States

(U.S. corporation)

NUMBER KIND DATE

PATENT INFORMATION: US 5912313 19990615 APPLICATION INFO: US 1995-562345 19951122 (8) Utility

DOCUMENT TYPE: FILE SEGMENT: Granted FILE SEGMENT: Granted
PRIMARY EXAMINER: Zitomer, Fred

LEGAL REPRESENTATIVE: Dunlap, Thoburn T.

NUMBER OF CLAIMS: EXEMPLARY CLAIM: 74

NUMBER OF DRAWINGS: 7 Drawing Figure(s); 7 Drawing Page(s)
LINE COUNT: 4406

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Silyl substituted polymers of polycycloolefins are provided as well as catalyst systems for their preparation. The polymers of the invention include polycyclic repeat units that contain pendant silyl functional groups represented by the following formulae: ##STR1## wherein A is a divalent radical selected from the following structures: ##STR2## R.sup.9 independently represents hydrogen, methyl, or ethyl; R.sup.10, R.sup.11, and R.sup.12 independently represent halogen, linear or

branched (C.sub.1 to C.sub.20) alkyl, linear or branched (C.sub.1 to C.sub.20) alkoxy, linear or branched (C.sub.1 to C.sub.20) alkyl carbonyloxy, (C.sub.1 to C.sub.20) alkyl peroxy, and substituted or unsubstituted (C.sub.6 to C.sub.20) aryloxy; R.sup.10, R.sup.11, and R.sup.12 together with the silicon atom to which they are attached form the group: ##STR3## n is a number from 0 to 5; and n' is 0 or 1; and n" is a number from 0 to 10.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 19 OF 27 USPATFULL on STN

ACCESSION NUMBER: 75:62560 USPATFULL

TITLE: Process for the production of polymeric hydrocarbons

with reactive silyl side groups

Streck, Roland, United States INVENTOR(S):

Weber, Heinrich, Marl, Germany, Federal Republic of PATENT ASSIGNEE(S):

(non-U.S. corporation)

Chemische Werke Huels Aktiengesellschaft, Marl,

Germany, Federal Republic of (non-U.S. corporation)

NUMBER KIND DATE PATENT INFORMATION: US 3920714 US 1974-452663 19751118 APPLICATION INFO.: 19740319 (5)

RELATED APPLN. INFO.: Continuation-in-part of Ser. No. US 1972-306989, filed

on 16 Nov 1972, now patented, Pat. No. US 3857825

NUMBER DATE \_\_\_\_\_ PRIORITY INFORMATION: DE 1973-2314543 19730323 DOCUMENT TYPE: Utility Granted FILE SEGMENT: Granted
PRIMARY EXAMINER: Shaver, Paul F.

FILE SEGMENT:

LEGAL REPRESENTATIVE: Millen, Raptes & White

NUMBER OF CLAIMS: 11

EXEMPLARY CLAIM: LINE COUNT: 791

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A process for the production of polymeric hydrocarbons having reactive silyl side groups, characterized in that cycloolefins which can be polymerized under ring opening, and which carry at least one reactive silyl group on the cycloolefin skeleton, are metathetically reacted either by themselves or with other cycloolefins which can be polymerized under ring opening and/or with hydrocarbon polymers having at least one unbranched double bond in the main chain, in the presence of metathetical catalyst.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 20 OF 27 USPAT2 on STN

2005:259858 USPAT2 ACCESSION NUMBER:

TITLE: Objective lens and optical pickup apparatus

INVENTOR(S): Ohta, Tatsuo, Otsuki, JAPAN

Nozaki, Takashi, Hino, JAPAN

PATENT ASSIGNEE(S): Konica Minolta Opto, Inc., Tokyo, JAPAN (non-U.S.

corporation)

NUMBER KIND DATE PATENT INFORMATION: US 7161744 B2 20070109 APPLICATION INFO.: US 2005-97159 20050404 (11)

NUMBER DATE

PRIORITY INFORMATION: JP 2004-113166 20040407 DOCUMENT TYPE: Utility

FILE SEGMENT: GRANTED

PRIMARY EXAMINER: Spector, David N.

LEGAL REPRESENTATIVE: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

NUMBER OF CLAIMS: 15 EXEMPLARY CLAIM: 1

NUMBER OF DRAWINGS: 7 Drawing Figure(s); 7 Drawing Page(s)

LINE COUNT: 3987

An objective lens for converging a monochromatic light flux having a specific wavelength in a range of 350 to 450 nm on an optical information recording medium while the objective lens is placed facing the optical information recording medium, the objective lens comprising: a lens body containing a polymer resin having an alicyclic structure; a first film having a refractive index of less than 1.7 for light having a wavelength of 405 nm; a second film having a refractive index of 1.7 or more for the light having the wavelength of 405 nm; and a third film having a refractive index of 1.55 or less for the light having the wavelength of 405 nm, wherein: the first film, the second film and the third film are provided on the lens body; the first film is provided between the lens body and the second film; the second film is provided between the first film and the third film; and a thickness of the first film is in a range of 50 to 15000 nm.

L10 ANSWER 21 OF 27 USPAT2 on STN

ACCESSION NUMBER: 2005:125162 USPAT2

TITLE: Cyclic olefin addition copolymer and process for

producing same, crosslinking composition, crosslinked product and process for producing same, and optically transparent material and application thereof

INVENTOR(S): Oshima, Noboru, Tokyo, JAPAN

Maruyama, Yooichiroh, Tokyo, JAPAN Kaizu, Michitaka, Tokyo, JAPAN Sawada, Katsutoshi, Tokyo, JAPAN Havashi, Toshihiro, Tokvo, JAPAN Ohkita, Kenzo, Tokyo, JAPAN

PATENT ASSIGNEE(S): JSR Corporation, Tokyo, JAPAN (non-U.S. corporation)

NUMBER KIND DATE US 6992154 B2 20060131 US 2004-969065 20041021 PATENT INFORMATION:

APPLICATION INFO.: 20041021 (10) RELATED APPLN. INFO.:

Division of Ser. No. US 2002-241578, filed on 12 Sep

2002, Pat. No. US 6844403

NUMBER DATE \_\_\_\_\_\_ JP 2001-277847 20010913 PRIORITY INFORMATION: JP 2001-336593 20011101 JP 2002-15388 20020124 Utility

DOCUMENT TYPE: Utility
FILE SEGMENT: GRANTED
PRIMARY EXAMINER: Harlan, Robert D.

LEGAL REPRESENTATIVE: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.

NUMBER OF CLAIMS: 20

EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 12 Drawing Figure(s); 12 Drawing Page(s)

TIME COUNT: 2046

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

The present invention provides a cyclic olefin addition copolymer which has a reactive silvl group having a specific structure, excels in optical transparency, heat resistance, and adhesion, and is capable of producing a crosslinked product having improved dimensional stability, solvent resistance, and chemical resistance. The present invention also provides a process for producing the cyclic olefin addition copolymer, a crosslinking composition, a crosslinked product and a process for producing the same, and an optically transparent material (transparent resin film) comprising the cyclic olefin addition copolymer. The optically transparent material excels in optical transparency and heat resistance, exhibits improved dimensional stability, adhesion, solvent resistance, and chemical resistance, and is capable of improving fragility and preventing occurrence of cracks in the film.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 22 OF 27 USPAT2 on STN

ACCESSION NUMBER: 2004:139564 USPAT2

TITLE: Resin film and applications thereof INVENTOR(S): Kanamori, Tarou, Tokyo, JAPAN Kawahara, Kouji, Tokyo, JAPAN

Hashiguchi, Yuuichi, Tokyo, JAPAN Maruyama, Yooichiroh, Tokyo, JAPAN Oshima, Noboru, Tokyo, JAPAN

PATENT ASSIGNEE(S): JSR Corporation, Tokyo, JAPAN (non-U.S. corporation)

> NUMBER KIND DATE \_\_\_\_\_\_

PATENT INFORMATION: US 6790914 B2 20040914 APPLICATION INFO:: US 2002-305959 20021129 (10)

DOCUMENT TYPE: Utility FILE SEGMENT: GRANTED PRIMARY EXAMINER: Wu, David W. ASSISTANT EXAMINER: Hu, Henry S.

LEGAL REPRESENTATIVE: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.

22 NUMBER OF CLAIMS: EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 0 Drawing Figure(s); 0 Drawing Page(s) LINE COUNT: 1775

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A film for a display device produced by using a transparent (crosslinked) resin film formed of a composition including a cyclic olefin addition copolymer of the present invention has optical transparency, heat resistance, liquid crystal resistance, dimensional stability, and adhesion and is suitably used as an alternative to a glass substrate of a liquid crystal display device and an EL display device. The transparent (crosslinked) resin film can be used as a polarizing film, surface protective film, retardation film, transparent conductive film, light diffusion film, film for an EL display device, transparent conductive composite material, antireflection film, and the like.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 23 OF 27 USPAT2 on STN ACCESSION NUMBER: 2004:83417 USPAT2 TITLE: Processes for producing cycloolefin addition polymer Ohkita, Kenzo, Tokyo, JAPAN INVENTOR(S):

Oshima, Noboru, Tokyo, JAPAN Imamura, Takashi, Tokyo, JAPAN Tsubouchi, Takashi, Tokyo, JAPAN

PATENT ASSIGNEE(S): JSR Corporation, Tokyo, JAPAN (non-U.S. corporation)

NUMBER KIND DATE \_\_\_\_\_ US 6911507 B2 20050628 PATENT INFORMATION: US 2003-466429 2001011 APPLICATION INFO.: 20010123 (10) WO 2001-JP200453 20010123

20030716 PCT 371 date

NUMBER DATE PRIORITY INFORMATION: JP 2001-15318 20010124 JP 2003-2001227248 20010727 JP 2003-2001227249 20010727

DOCUMENT TYPE: Utility FILE SEGMENT: GRANTED PRIMARY EXAMINER: Teskin, Fred

LEGAL REPRESENTATIVE: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.

NUMBER OF CLAIMS: 13 EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 0 Drawing Figure(s); 0 Drawing Page(s)

1454 LINE COUNT:

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A cyclic olefin having a specific polar group is polymerized by addition polymerization in a hydrocarbon solvent, using a polymerization catalyst component containing (i) a specific transition metal compound, (ii) a Lewis acid compound and (iii) an alkyl aluminoxane, or the cyclic olefin is polymerized by addition polymerization in the hydrocarbon solvent, using the polymerization catalyst component, by further adding at least one aromatic vinyl compound and at least one cyclic nonconjugated polyene compound, or either one of them as a molecular weight modifier, thereby obtaining a cyclic olefinic addition polymer.

### CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 24 OF 27 USPAT2 on STN

PATENT ASSIGNEE(S):

ACCESSION NUMBER: 2004:11278 USPAT2

TITLE: Self-healing polymer compositions

INVENTOR(S): Skipor, Andrew, West Chicago, IL, UNITED STATES

Scheifer, Steve, Hoffman Estates, IL, UNITED STATES

Olson, Bill, Lake Villa, IL, UNITED STATES

Motorola, Inc., Schaumburg, IL, UNITED STATES (U.S.

corporation)

NUMBER KIND DATE 20020715 (10) NUMBER OF CLAIMS: 15 EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 12 Drawing Figure(s); 5 Drawing Page(s)

LINE COUNT: 978

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A self-healing polymer composition 10 contains a polymer media 12 and a plurality of microcapsules of flowable polymerizable material 16 dispersed in the polymer media 12, where the microcapsules of flowable polymerizable material 16 contain a flowable polymerizable material 15 and have an outer surface 142 upon which at least one polymerization agent 13 is chemically attached. The microcapsules 16 are effective for rupturing with a failure of the polymeric media 12, and the flowable polymerizable material 15 reacts with the polymerization agent 13 when the polymerizable material 15 makes contact with the polymerization agent 13 upon rupture of the microcapsules 14. There is also provided a method of using the self-healing polymeric composition 10 to repair fractures in polymers, as well as articles of manufacture including the self-healing system, and the microencapsulated polymerizable particles 16 themselves.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 25 OF 27 USPAT2 on STN

ACCESSION NUMBER: 2003:174113 USPAT2

TITLE: CYCLIC OLEFIN ADDITION COPOLYMER AND PROCESS FOR PRODUCING SAME, CROSSLINKING COMPOSITION, CROSSLINKED

PRODUCT AND PROCESS FOR PRODUCING SAME, AND OPTICALLY

TRANSPARENT MATERIAL AND APPLICATION THEREOF

INVENTOR(S): Oshima, Noboru, Tokvo, JAPAN

Maruyama, Yooichiroh, Tokyo, JAPAN Kaizu, Michitaka, Tokyo, JAPAN

Sawada, Katsutoshi, Tokyo, JAPAN Hayashi, Toshihiro, Tokyo, JAPAN Ohkita, Kenzo, Tokyo, JAPAN

PATENT ASSIGNEE(S): JSR Corporation, Tokyo, JAPAN (non-U.S. corporation)

NUMBER KIND DATE PATENT INFORMATION: US 6844403 B2 20050118 US 2002-241578 20020912 APPLICATION INFO.: 20020912 (10)

NUMBER DATE PRIORITY INFORMATION: JP 2001-277847 20010913 JP 2001-336593 20011101 JP 2002-15388 20020124

DOCUMENT TYPE: Utility

FILE SEGMENT: GRANTED PRIMARY EXAMINER: Harlan, Robert D.

LEGAL REPRESENTATIVE: Oblon, Spivak, McClelland, Majer & Neustadt, P.C.

NUMBER OF CLAIMS: 22

EXEMPLARY CLAIM: 12 Drawing Figure(s); 12 Drawing Page(s) 2154 NUMBER OF DRAWINGS:

LINE COUNT:

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

The present invention provides a cyclic olefin addition copolymer which has a reactive silyl group having a specific structure, excels in optical transparency, heat resistance, and adhesion, and is capable of producing a crosslinked product having improved dimensional stability, solvent resistance, and chemical resistance. The present invention also provides a process for producing the cyclic olefin addition copolymer, a crosslinking composition, a crosslinked product and a process for producing the same, and an optically transparent material (transparent

resin film) comprising the cyclic olefin addition copolymer. The optically transparent material excels in optical transparency and heat resistance, exhibits improved dimensional stability, adhesion, solvent resistance, and chemical resistance, and is capable of improving fragility and preventing occurrence of cracks in the film.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 26 OF 27 USPAT2 on STN

2002:236190 USPAT2 ACCESSION NUMBER:

TITLE: Thermoplastic resin composition and shaped articles

thereof INVENTOR(S):

Zen, Shinichiro, Tokyo, JAPAN Shimizu, Akira, Mie-ken, JAPAN

JSR Corporation, Tokyo, JAPAN (non-U.S. corporation) PATENT ASSIGNEE(S):

KIND DATE NUMBER -----PATENT INFORMATION: US 6562908 B2 20030513 APPLICATION INFO:: US 2002-40351 20020109 20020109 (10)

> NUMBER DATE

PRIORITY INFORMATION: JP 2001-3745 20010111

DOCUMENT TYPE: Utility
FILE SEGMENT: GRANTED
PRIMARY EXAMINER: Nutter, Nathan M.

LEGAL REPRESENTATIVE: Oblon, Spivak, McClelland, Maier & Neustadt, P.C. NUMBER OF CLAIMS: 11

EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 0 Drawing Figure(s); 0 Drawing Page(s) 913

LINE COUNT:

AR

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Described are a thermoplastic resin composition containing (A): one or more rubber reinforced thermoplastic resins, (B): one or more acrylic resins and (C): one or more thermoplastic norbornene resins, and further containing (D): one or more styrenic resins other than (A) and (E): coloring agents as needed, and a shaped article obtained by forming the composition. Described thermoplastic resin composition is excellent in heat resistance, strength and processability, and excellent in laser marking properties, so that it is useful for various applications.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L10 ANSWER 27 OF 27 CAPLUS COPYRIGHT 2008 ACS on STN

ACCESSION NUMBER: 1979:475528 CAPLUS DOCUMENT NUMBER: 91:75528

ORIGINAL REFERENCE NO.: 91:12225a,12228a

Curable composition containing EPM or EPDM TITLE:

interpolymers

INVENTOR(S): Tsai, Thomas C. H.
PATENT ASSIGNEE(S): Copolymer Rubber and Chemical Corp., USA
SOURCE: U.S., 8 pp.

CODEN: USXXAM

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

A 19790508 US 1978-890125 19780327 US 1976-681433 A1 19760429 IIS 4153765 PRIORITY APPLN. INFO.:

AB Liquid modified EPM and EPDM rubbers curable by moisture at room temperature are

prepared by copolymq. ethylene, propylene, and an optional nonconjugated diene with a Cl3Si-substituted unsatd. hydrocarbon, replacing the Cl by alkoxy when this can no longer poison the polymerization catalysts, and blending the product with an organotin carboxylate. Thus, ethylene, propylene, and 7-isopropylidene-5-(trichlorosily1)-2-norbornene were copolymd. in hexane in the presence of a catalyst consisting of Et3A12Cl3, VOCl3, and Bu perchlorocrotonate and the polymer cement formed was washed with MeOH. The solvent was removed under reduced pressure to give a honey-colored liquid with Brookfield viscosity 15,000 P at 23.5°, containing 5 weight % 7-isopropylidene-5-(trimethoxysilyl )-2-norbornene units. This polymer 100, SiO2 20, TiO2 5, ZnO 5, and dibutyltin dilaurate [77-58-7] 5 parts were compounded to give a smooth paste which became tack-free in ≈6 h and cured in ≈1 wk under atmospheric conditions to an elastomer with tensile strength 200 psi, elongation 135%, and Shore A hardness 32.

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L10 ANSWER 6 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2004:308106 USPATFULL

TITLE: Rubber composition and vulcanized rubber INVENTOR(S): Nakano, Sadayuki, Ichihara-shi, JAPAN Sassa, Tatsuo, Ichihara-shi, JAPAN

Kawashima, Jun, Ichihara-shi, JAPAN PATENT ASSIGNEE(S): SUMITOMO CHEMICAL COMPANY, LIMITED (non-U.S.

corporation)

NUMBER KIND DATE PATENT INFORMATION: US 20040242782 A1 20041202 APPLICATION INFO.: US 2004-852154 A1 20040525 (10)

NUMBER DATE PRIORITY INFORMATION: JP 2003-152083 20030529 JP 2003-152084 20030529

DOCUMENT TYPE: Utility
FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: SUGHRUE MION, PLLC, 2100 PENNSYLVANIA AVENUE, N.W., SUITE 800, WASHINGTON, DC, 20037

NUMBER OF CLAIMS: 8
EXEMPLARY CLAIM: 1
693

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

(A) an ethylene-α-olefin copolymer rubber and/or an ethylene-a-olefin-non-conjugated diene copolymer rubber.

(B1) an organic compound having a weight average molecular weight of from 1,000 to 1,000,000, and containing a silicon atom in an amount of from 2 to 30% by weight, wherein the total amount of the organic

compound is 100% by weight, or (B2) an ethylene -α-olefin-silylnorbornene copolymer rubber, and

SUMM [0009] (A) an ethylene-α-olefin copolymer

rubber and/or an ethylene-α-olefin-non-conjugated diene

copolymer rubber,

- SUMM [0014] (A) an ethylene- $\alpha$ -olefin copolymer rubber and/or an ethylene- $\alpha$ -olefin-non-conjugated diene copolymer rubber,
- SUMM [0015] (B2) an ethylene- $\alpha$ -olefin-silylnorbornene copolymer rubber, and
- DETD [0019] An  $\alpha$ -olefin in both of the ethylene  $-\alpha$ -olefin copolymer rubber (hereinafter, referred to as "copolymer rubber 1") and the ethylene  $-\alpha$ -olefin-non-conjugated diene copolymer rubber (hereinafter, referred to as "copolymer rubber 2") of the component (A) means an  $\alpha$ -olefin containing from 3 to 10 carbon atoms. Hereinafter, the copolymer rubber 1 and the copolymer rubber 2 are collectively referred to "copolymer rubber". Examples of the  $\alpha$ -olefin are propylene, 1-butene, 1-pentene, 1-hexene, 4-methyl-1-pentene, 1-octene and 1-decene. Among them, preferred is propylene or 1-butene.
- DETD [0024] An example of the copolymer rubber 1 is ethylene-propylene copolymer rubber, and an example of the copolymer rubber 2 is ethylene -propylene-5-ethylidene-2-norbornene copolymer rubber.
- DETD [0034] An example of the component (B2) is ethylene -propylene-trichlorosilylnorbornene copolymer rubber.
- DETD [0042] Examples of the compound represented by the above-mentioned formula are 5-trichlorosily1-2-norbornene, 5-dichloromethylsily1-2-norbornene, 5-dichloromethylsily1-2-norbornene,

5-tribromosily1-2-norbornene, 5-dibromomethylsily1-2-norbornene, 5-bromodimethylsily1-2-norbornene, 5-dichloroethylsily1-2-norbornene, 5-dichloroethylsily1-2-norbornene, 5-trimethoxysily1-2-norbornene and 5-triethoxysily1-2-norbornene. Among them,

- preferred is a compound whose silicon atom has a halogen atom-containing substituent group, and particularly preferred is a compound whose silicon atom has a chlorine atom-containing substituent group. An example of said compound is 5-trichlorosily1-2-norbornene.
- ETD [0076] 0i1-extended ethylene-propylene-5-ethylidene-2-norbornene copolymer rubber having Mooney viscosity (ML.sub.1+4, 121° C.) of 60 and an iodine value of 10, and comprising (i) 100 parts by weight of ethylene-propylene-5-ethylidene-2-norbornene copolymer rubber having an ethylene unit content of 70% by weight and a propylene unit content of 30% by weight, the total of the both units being 100% by weight, and (ii) 40 parts by weight of extender oil.
- DETD [0080] (i) it contains a continuous phase of an ethylene-vinyl acetate copolymer (EVA).
- DETD [0087] Ethylene-propylene-trichlorosilylnorbornene copolymer rubber produced in the below-mentioned Reference Example 1, and having the following characteristics, wherein the total amount of said copolymer rubber is 100% by weight:
- DETD [0115] After 30 minutes from the initiation, 10 ml of methanol containing 0.1 g of 2,6-di-t-butyl-p-cresol (trade name of SUMILIZER BHT, manufactured by Sumitomo Chemical Co., Ltd.) was added to the obtained polymerization reaction mixture to terminate the polymerization. The obtained copolymer rubber solution was concentrated, and the copolymer rubber was recovered by a methanol-precipitation method. The recovered copolymer rubber was vacuum-dried at 80° C. for 12 hours, thereby obtaining 4.92 g of an ethylene -propylene-trichlorosilylnorbornene copolymer rubber.
- CLM What is claimed is:

  1. A rubber composition comprising the following components (A), (B1)

and (C): (A) an ethylene- $\alpha$ -olefin copolymer rubber and/or an ethylene-a-olefin-non-conjugated diene copolymer rubber, (B1) an organic compound having a weight average molecular weight of from 1,000 to 1,000,000, and containing a silicon atom in an amount of from 2 to 30% by weight, wherein the total amount of the organic compound is 100% by weight, and (C) a reinforcement.

What is claimed is:

5. A rubber composition comprising the following components (A), (B2) and (C): (A) an ethylene- $\alpha$ -olefin copolymer rubber and/or an ethylene-α-olefin-non-conjugated diene copolymer rubber, (B2) an ethylene  $-\alpha$ -olefin-silylnorbornene copolymer rubber, and (C) a reinforcement.

=> d 110 15 ibib hit

L10 ANSWER 15 OF 27 USPATFULL on STN

ACCESSION NUMBER: 2000:125116 USPATFULL

TITLE: Photodefinable dielectric compositions comprising

polycyclic polymers

INVENTOR(S): Shick, Robert A., Strongsville, OH, United States Jayaraman, Saikumar, Twinsburg, OH, United States Elce, Edmund, Akron, OH, United States

Goodall, Brian L., Akron, OH, United States PATENT ASSIGNEE(S):

The B. F. Goodrich Company, NY, United States (U.S. corporation)

NUMBER KIND DATE

PATENT INFORMATION: US 6121340 20000919 APPLICATION INFO.: US 1997-964080 19971104 19971104 (8)

NUMBER DATE

PRIORITY INFORMATION: US 1996-30410P 19961104 (60)

DOCUMENT TYPE: Utility FILE SEGMENT: Granted

PRIMARY EXAMINER: Granted
PRIMARY EXAMINER: Berman, Susan W. LEGAL REPRESENTATIVE: Dunlap, Thoburn T.

NUMBER OF CLAIMS: 33 EXEMPLARY CLAIM: 1

LINE COUNT: 3578

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

SUMM As disclosed in Japanese Kokai Application No. 7-104474 to Nippon Zeon Co., Ltd. (NZ '474) attention is being directed to the polycycloolefins (e.g. polymers derived from polycyclic monomers containing a norbornene moiety). Because of their high hydrocarbon content, polycycloolefins have low dielectric constants and low affinities for moisture. Presently, there are several routes to polymerize cyclic olefin monomers such as norbornene or other higher polycyclic monomers containing the norbornene functionality. These include: (1) ring-opening metathesis polymerization (ROMP); (2) ROMP followed by hydrogenation; (3) addition copolymerization (Ziegler type copolymers with ethylene); and (4) addition homopolymerization. Each of the

foregoing routes produces polymers with specific structures as shown in the diagram below: ##STR1##

- STIMM In another embodiment of the same disclosure, addition copolymers derived from cycloolefins and an a-olefin such as ethylene catalyzed in the presence of a transition metal/aluminum catalyst system or addition polymers derived from cycloolefins catalyzed in the presence of transition metal/aluminum or palladium catalyst systems are post functioanalized with silvl substituents via a grafting reaction. Notwithstanding the inherent deficiencies of the foregoing addition polymer backbones such as (i) the low Tg of the cycloolefin/ ethylene copolymers and (ii) the insolubility of palladium catalyzed cycloaddition polymers, a further drawback is the inherent deficiencies of the post functionalization grafting reaction. As with the post functionalization of the polycyclic ROMP polymers, the amount and placement of the functional group on the backbone can not be controlled. The end result is that the silyl group can be located anywhere on the backbone where a graft reaction can occur. NZ '474 specifically teaches that the disclosed cycloaddition polymers are post functionalized with a silvl group via a conventional free radical generating mechanism. Assuming that the cycloaddition polymer was derived from the simplest of the polycyclic monomers (e.g., norbornene), the silvl functionality would have a probability of grafting to all sites on the polymer backbone that have the potential to form a free radical species (Koch, V. R.; Gleicher, G. J., J. Amer. Chem. Soc., 93:7, 1657-1661 (1971)). Accordingly, the silyl functionality can graft to any or all of the numbered sites shown below in the diagram (lower numbers indicate higher probability grafting sites). ##STR2## In spite of the hierarchy of the grafting site probabilities, the grafted product will comprise a mixture of the grafted products containing silyl functionality at one or more of the numbered sites indicated above. A major disadvantage of free radically grafted addition polymers is the propensity of the backbone to undergo cleavage or scission during the graft reaction. Free radicals generated at the repeating unit attachment sites (site 2 in the above diagram) can cause chain scission.
- SUMM Minami '171 purports that the post modification of the disclosed ethylene/polycycloolefin copolymers leads to high Tg polymers (20 to 250° C.). However, the data reported in the Examples appears to suggest otherwise. The maleic anhydride, vinvltriethoxy silane, and glycidyl methacrylate graft copolymers of Examples 33 to 39 on average exhibit a 2° C. increase in Tg over their non-grafted counterparts. When taking experimental error into account, the slight overall increase in the reported Tg values are nil or insignificant at best. Contrary to the disclosure of Minami '171, high Tq polymers are not attained. In fact, the highest Tq reported in any of the Examples is only 160° C. There is no disclosure to suggest that addition polymerized silvl substituted polycyclic monomers provide polymers with superior physical and adhesive properties, especially adhesion to copper and noble metal substrates. The data reported in the examples also indicates that the highest incorporation through grafting of the vinyl triethoxy silane moiety is less than 0.1 mole %.
- SUMM Illustrative examples of monomers of formula I include 5-triethoxysilylnorbornene, 5-trimethoxysilyl norbornene, 5-methyldimethoxysilyl norbornene, 5-dimethylmethoxy norbornene and 5-dimethylethoxysilyl norbornene.

```
L10 ANSWER 23 OF 27 USPAT2 on STN
ACCESSION NUMBER: 2004:83417 USPAT2
TITLE:
                        Processes for producing cycloolefin addition polymer
INVENTOR(S):
                        Ohkita, Kenzo, Tokyo, JAPAN
                        Oshima, Noboru, Tokyo, JAPAN
                        Imamura, Takashi, Tokyo, JAPAN
                        Tsubouchi, Takashi, Tokvo, JAPAN
PATENT ASSIGNEE(S):
                        JSR Corporation, Tokyo, JAPAN (non-U.S. corporation)
                                        KIND DATE
                       US 6911507 B2 20050628

WO 2002005916 20020801

US 2003-466429 20010123
PATENT INFORMATION:
APPLICATION INFO.:
                                                20010123 (10)
                        WO 2001-JP200453
                                                20010123
                                                20030716 PCT 371 date
                              NUMBER
                                            DATE
PRIORITY INFORMATION:
                        JP 2001-15318 20010124
                        JP 2003-2001227248 20010727
                        JP 2003-2001227249 20010727
DOCUMENT TYPE:
                        Utility
FILE SEGMENT: GRANTED
FRIMARY EXAMINER: Teskin, Fred
LEGAL REFRESENTATIVE: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.
NUMBER OF CLAIMS: 13
                       1
EXEMPLARY CLAIM:
NUMBER OF DRAWINGS: 0 Drawing Figure(s); 0 Drawing Page(s)
LINE COUNT:
                        1454
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
SUMM As plastic materials having high transparency, heat resistance and the
       like as described above, cyclic olefin polymers have been proposed. As
       the cyclic olefin polymers, there have hitherto been proposed
       hydrogenated ring-opening polymers (Japanese Patent Laid-open
       Publication (Sho) 60-26024, Japanese Patent No. 3050196, Japanese Patent
       Laid-open Publication (Hei) 1-132625, Japanese Patent Laid-open
       Publication (Hei) 1-132625, etc.), addition copolymers of
       cyclic olefins and ethylene [Japanese Patent Laid-open
       Publication (Sho) 61-292601, Makromol, Chem. Macromol, Symp. Vol. 47, 83
       (1991), etc.), addition copolymers of cyclic olefins (Japanese Patent
       Laid-open Publication (Hei) 4-63807, Japanese Patent Laid-open
       Publication (Hei) 8-198919, Published Japanese Translation of PCT
       Application (Hei) 9-508649, Published Japanese Translation of PCT
       Application (Hei) 11-505880, etc.) and the like.
SUMM Specific examples of the substituted norbornenes having polar group
       represented by the above-mentioned formula (2) include 5-
       trimethoxysily1-2-norbornene,
       5-chlorodimethoxysilv1-2-norbornene,
       5-dichloromethoxysilv1-2-norbornene,
       5-chloromethoxymethylsilvl-2-norbornene,
       5-methoxymethylhydrosilyl-2-norbornene,
       5-dimethoxyhydrosilyl-2-norbornene, 5-methoxydimethylsilyl-2-norbornene,
       5-triethoxysilyl-2-norbornene, 5-chlorodiethoxysilyl-2-norbornene,
       5-dichloroethoxysilv1-2-norbornene,
       5-chloroethoxymethylsilv1-2-norbornene,
       5-diethoxyhydrosily1-2-norbornene, 5-ethoxydimethylsily1-2-norbornene,
       5-ethoxydiethylsilyl-2-norbornene, 5-tripropoxysilyl-2-norbornene,
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5-triisopropoxysily1-2-norbornene, 5-triphenoxysily1-2-norbornene,

SUMM

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5-diphenoxymethylsilyl-2-norbornene, 5-trifluorosilyl-2-norbornene,
5-trichlorosily1-2-norbornene, 5-tribromosily1-2-norbornene,
5-trimethoxysilvlmethyl-2-norbornene, 5-(1-
trimethoxysilylethyl)-2-norbornene, 5-(2-
trimethoxysilylethyl)-2-norbornene,
5-(1-chlorodimethoxysilylethyl)-2-norbornene,
5-(2-chlorodimethoxysilvlethvl)-2-norbornene,
5-triethoxysilvlmethvl-2-norbornene,
5-(1-triethoxysilylethyl)-2-norbornene,
5-(2-triethoxysilylethyl)-2-norbornene,
5-(1-chlorodiethoxysilylethyl)-2-norbornene,
5-(2-chlorodiethoxysilvlethyl)-2-norbornene, 5-(2-
trimethoxysilylpropy1)-2-norbornene, 5-(3-
trimethoxysilylpropyl)-2-norbornene,
5-(2-triethoxysilylpropyl)-2-norbornene, 5-(3-triethoxysilylpropyl)-2-
norbornene, trimethoxysilylpropyl 5-norbornene
-2-carboxylate, triethoxysilylpropyl 5-norbornene-2-carboxylate,
dimethoxymethylsilylpropyl 5-norbornene-2-carboxylate,
trimethoxysilv1propv1 2-methv1-5-norbornene-2-carboxy1ate,
dimethoxymethylsilylpropyl 2-methyl-5-norbornene-2-carboxylate,
triethoxysilvlpropyl 2-methyl-5-norbornene-2-carboxylate,
2-acetyl-5-norbornene, methyl 5-norbornene-2-carboxylate, ethyl
5-norbornene-2-carboxylate, t-butyl 5-norbornene-2-carboxylate, methyl
2-methyl-5-norbornene-2-carboxylate, ethyl
2-methyl-5-norbornene-2-carboxylate, t-butyl
2-methyl-5-norbornene-2-carboxylate, trifluoromethyl
2-methyl-5-norbornene-2-carboxylate, 5-norbornene-2-yl acetate,
2-methyl-5-norbornene-2-yl acetate, 2-methyl-5-norbornene-2-yl acrylate,
2-methyl-5-norbornene-2-yl methacrylate, dimethyl
5-norbornene-2,3-dicarboxylate, diethyl 5-norbornene-2,3-dicarboxylate,
5-norbornene-2,3-dicarboxylic acid anhydride, methyl
8-methyl-3-tetracyclo[4.4.0.1.sup.2,5.1.sup.7,10]dodecene-8-carboxylate,
spiro ring compounds as shown below, and the like.
Specific examples of the substituted norbornenes having polar group
represented by the above-mentioned formula (3) include 5-
trimethoxysily1-2-norbornene,
5-chlorodimethoxysilv1-2-norbornene,
5-dichloromethoxysilv1-2-norbornene,
5-chloromethoxymethylsilv1-2-norbornene,
```

5-dichloromethoxysilyl-2-norbornene,
5-mchoromethoxymethylsilyl-2-norbornene,
5-methoxymethylsilyl-2-norbornene,
5-dimethoxyhydrosilyl-2-norbornene,
5-triethoxysilyl-2-norbornene,
5-chlorodiethoxysilyl-2-norbornene,
5-dichloroethoxysilyl-2-norbornene,
5-dichloroethoxysilyl-2-norbornene,
5-diethoxyhydrosilyl-2-norbornene,
5-diethoxyhydrosilyl-2-norbornene,
5-tripropoxysilyl-2-norbornene,
5-trifisopropoxysilyl-2-norbornene,
5-trifisopropoxysilyl-2-norbornene,
5-trifisopropoxysilyl-2-norbornene,
5-trifisopropoxysilyl-2-norbornene,
5-trifisopropoxysilyl-2-norbornene,
5-trifisopropoxymethylsilyl-2-norbornene,
5-trif

For example, by optionally containing a structural unit of the norbornene substituted with 3 to 10 carbon atoms alkyl group, the solubility of the resulting polymer in a solvent and the glass transition can be controlled to improve processability, and the flexibility can be imparted to the resulting formed article. Further, by containing a structural unit of the cyclic olefin having a functional group such as an alkenyl group, an alkylidene group, an ester group or an alkoxysilyl group, crosslinking sites allowing the cyclic olefinic

addition polymer to have a three-dimensional network structure, and functions such as adhesive properties to other materials and good dispersibility can also be imparted. Furthermore, one containing a structural unit of the norbornene having an alkoxysilyl group at an appropriate content can be suitably used in a composite with a metal oxide such as silica, alumina or titania.

### => d 110 25 ibib hit

L10 ANSWER 25 OF 27 USPAT2 on STN

ACCESSION NUMBER: 2003:174113 USPAT2

TITLE: CYCLIC OLEFIN ADDITION COPOLYMER AND PROCESS FOR PRODUCING SAME, CROSSLINKING COMPOSITION, CROSSLINKED

PRODUCT AND PROCESS FOR PRODUCING SAME, AND OPTICALLY

TRANSPARENT MATERIAL AND APPLICATION THEREOF

INVENTOR(S): Oshima, Noboru, Tokyo, JAPAN Maruvama, Yooichiroh, Tokyo, JAPAN

Kaizu, Michitaka, Tokvo, JAPAN Sawada, Katsutoshi, Tokvo, JAPAN Hayashi, Toshihiro, Tokyo, JAPAN Ohkita, Kenzo, Tokyo, JAPAN

PATENT ASSIGNEE(S): JSR Corporation, Tokyo, JAPAN (non-U.S. corporation)

NUMBER KIND DATE -----PATENT INFORMATION:

US 6844403 B2 20050118 US 2002-241578 20020912 20020912 (10) APPLICATION INFO.:

NUMBER DATE JP 2001-277847 20010913 PRIORITY INFORMATION: JP 2001-336593 20011101 JP 2002-15388 20020124

DOCUMENT TYPE: Utility

FILE SEGMENT: GRANTED

FILE SEGMENT: GRANLED GRANLED PRIMARY EXAMINER: Harlan, Robert D.
LEGAL REPRESENTATIVE: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.

NUMBER OF CLAIMS: 22 EXEMPLARY CLAIM: 1

12 Drawing Figure(s); 12 Drawing Page(s) NUMBER OF DRAWINGS:

LINE COUNT: 2154

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

SUMM (2) Addition copolymer of ethylene and norbornene compound or tetracyclododecene compound

SUMM (4) Addition (co)polymer of norbornene compound containing alkoxysilyl group

DETD Variation of the retardation of the crosslinked film A-2 obtained in Example 1 at a wavelength of 633 nm was ±5%. An anchor agent solution consisting of a high-molecular-weight ionic complex ("Toyobine 210K" manufactured by Tosoh Corporation) containing water/alcohol (weight ratio: 50/50) as a solvent component was applied to the crosslinked film A-2 and dried at 90° C. for 5 minutes to form an aqueous anchor coat layer. An adhesive layer consisting of a urethane-based adhesive ("Takelac A-371" manufactured by Takeda Chemical Industries, Ltd.) and a curing agent ("Takenate A-10" manufactured by Takeda Chemical Industries, Ltd.) was formed on the aqueous anchor coat layer. An

ethylene-vinyl alcohol copolymer/dichromatic dye-based polarizing film was lavered on the adhesive laver and pressed at a temperature of 80° C. and a pressure of 3 kg/cm.sup.2 to form an integrated film. A transparent conductive layer was formed on the surface of the polarizing film by using a sputtering method utilizing a target consisting of indium oxide/tin oxide (weight ratio: 95:5). A liquid crystal display panel having a layer structure consisting of the transparent electrode/polarizing film/adhesive laver/aqueous anchor coat layer/substrate layer was obtained in this manner. Adhesion between the substrate layer and the polarizing film of the laminate was good. No delamination was observed between the substrate layer and the polarizing film. The laminate was subjected to a durability test at a temperature of 80° C. and a relative humidity of 90%. As a result, the laminate showed no abnormalities after 1000 hours of testing and exhibited good durability.

DETD

A film with a thickness of 0.1 mm was prepared by using the technique for forming the crosslinked film A-2 in Example 1. A solution of 10 g of a vinyl chloride-vinyl acetate copolymer containing methyl ethyl ketone/ethylene glycol (weight ratio: 40/0.1) as a solvent component was applied to the film and dried to form a resin film with a thickness of 30 um. The film was immersed in hot water at 80° C. for 45 minutes. The film was then removed from the hot water and dried to obtain a light diffusion composite sheet with a thickness of 130 µm. The light transmittance of the transparent resin film was 75%. The particle diameter was 2-8 µm (individual bubbles).

=> d 110 26 ibibhit 'IBIBHIT' IS NOT A VALID FORMAT

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L10 ANSWER 26 OF 27 USPAT2 on STN

ACCESSION NUMBER: 2002:236190 USPAT2

TITLE: Thermoplastic resin composition and shaped articles

Zen, Shinichiro, Tokyo, JAPAN INVENTOR(S): Shimizu, Akira, Mie-ken, JAPAN

PATENT ASSIGNEE(S): JSR Corporation, Tokyo, JAPAN (non-U.S. corporation)

NUMBER KIND DATE US 6562908 B2 20030513 US 2002-40351 20020109

PATENT INFORMATION: APPLICATION INFO.: 20020109 (10)

NUMBER DATE PRIORITY INFORMATION: JP 2001-3745 20010111 DOCUMENT TYPE: Utility

FILE SEGMENT: GRANTED FILE SEGMENT: GRANTED
PRIMARY EXAMINER: Nutter, Nathan M.

LEGAL REPRESENTATIVE: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.

NUMBER OF CLAIMS: 11 EXEMPLARY CLAIM:

NUMBER OF DRAWINGS: 0 Drawing Figure(s); 0 Drawing Page(s) 913

LINE COUNT: CAS INDEXING IS AVAILABLE FOR THIS PATENT.

FILL ESTIMATED COST

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DETD
       Examples of rubber polymers for obtaining component (A) include
       ethylene-a-olefin copolymers such as
       ethylene-propylene random and block copolymers and
       ethylene-butene random and block copolymers;
       ethylene-unsaturated carboxylic acid ester copolymers
       such as ethylene-methacrylate and ethylene-butyl
       acrylate copolymers; ethylene-fatty acid vinyl
       copolymers such as ethylene-vinyl acetate
       copolymers; ethylene-propylene-non-conjugated diene
       terpolymers such as ethylene
       -propylene-ethylidenenorbornene and ethylenepropylene-hexadiene
       copolymers; random and block copolymers of polybutadiene, isoprene and
       styrene-butadiene, and hydrogenated products of these random and block
       copolymers; diene rubber such as acrylonitrile-butadiene and
       butadiene-isoprene copolymers; butylene-isoprene copolymers; and
       silicone rubber. These can be used not only alone, but also as a
       combination of two or more of them.
DETD
      Of these, rubber polymers preferred in terms of their properties are
      diene rubber, ethylene-propylene rubber and ethylene
      -propylene-non-conjugated diene terpolymers.
      5-trimethoxysilv1-2-norbornene,
DETD
      5-trimethoxysilvlmethyl-2-norbornene,
       5-(2-trimethoxysily1)ethy1-2-norbornene,
       5-(1-trimethoxysilyl)ethyl-2-norbornene,
       5-(2-trimethoxysilyl)propyl-2-norbornene,
DETD
      5-(1-trimethoxysily1) propy1-2-norbornene,
DETD
      trimethoxysilvlpropyl-5-norbornene-2-carboxylate,
DETD
     Further, specific monomers may be polymerized by ring-opening
       polymerization in the presence of unsaturated hydrocarbon polymers
       having carbon-carbon double bonds on main chains thereof, such as
       polybutadiene, polyisoprene, styrene-butadiene copolymers,
       ethylene-non-conjugated diene copolymers and
       polynorbornene. The hydrogenated products of the ring-opening polymers
       obtained in this case are useful as raw materials for resins having high
       impact resistance.
     AES resin; Copolymer of acrylonitrile 23%/ethylene
       -propylene rubber 12%/styrene 65% (melt flow rate=15 g/10 min)
=> FIL STNGUIDE
COST IN U.S. DOLLARS
                                                 SINCE FILE
                                                                TOTAL
                                                     ENTRY
                                                             SESSION
FULL ESTIMATED COST
                                                     212.31
                                                              226.97
DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS)
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                                                                TOTAL.
                                                     ENTRY SESSION
                                                      -1.60
                                                                -2.40
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COST IN U.S. DOLLARS
                                                 SINCE FILE
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=> d 110 17 ibib hit

L10 ANSWER 17 OF 27 USPATFULL on STN

ACCESSION NUMBER: 1999:85532 USPATFULL

TITLE: Method for preparation of copolymers of

ethylene/norbornene-type monomers with nickel

catalysts

INVENTOR(S): Makovetsky, Kiryll Lvovich, Moscow, Russian Federation

Finkelshtein, Eugeny Shmerovich, Moscow, Russian

Federation

Bykov, Viktor Ivanovich, Moscow, Russian Federation Bagdasaryan, Andrey Khristoforovich, Moscow, Russian

Federation

Goodall, Brian Leslie, Akron, OH, United States Rhodes, Larry Funderburk, Silver Lake, OH, United

PATENT ASSIGNEE(S): The B.F.Goodrich Co., Richfield, OH, United States

> (U.S. corporation) A.V. Topchiev Institute of Petrochemical Synthesis,

A.V. Topchiev, Russian Federation (non-U.S. corporation)

NUMBER KIND DATE PATENT INFORMATION: US 5929181
APPLICATION INFO:: US 1997-871245
DOCUMENT TYPE: Utility 19990727 19970609 (8) DOCUMENT TYPE: FILE SEGMENT:

Granted PRIMARY EXAMINER: Granted
PRIMARY EXAMINER: Zitomer, Fred LEGAL REPRESENTATIVE: Shust, Nestor W.

NUMBER OF CLAIMS: 26 EXEMPLARY CLAIM: 1728

LINE COUNT: CAS INDEXING IS AVAILABLE FOR THIS PATENT.

TT

Method for preparation of copolymers of ethylene /norbornene-type monomers with nickel catalysts

A method of preparing amorphous copolymers of ethylene and at least one norbornene (NB)-type comonomer is disclosed. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula #\$ISTR1#\$ wherein Y may be a saturated or unsaturated hydrocarbyl chain, X may be oxygen or sulfur, E may be phosphorus, arsenic, antimony, oxygen or nitrogen, R and R' independently each is hydrogen or a hydrocarbyl group, L is a ligand containing a heteroatom P, N or O or L and R together with L may form part of a chelating structure in which case L is an ethylenic double bond.

SUMM Addition copolymers of ethylene and norbornene-type monomers are well known and can be prepared using a variety of catalysts disclosed in the prior art. This general type of copolymers can be prepared using free radical catalysts disclosed in U.S. Pat. No. 3,494,897 (Reding et al.); titanium tetrachloride and diethylaluminum chloride as disclosed in East German Patents 109,224 and 222,317 (VEB Leuna); or a variety of vanadium compounds, usually in combination with organoaluminum compounds, as disclosed in European Patent Application No. 156464 (Kajiura et al.). The copolymers obtained with these catalysts are random copolymers. U.S. Pat. No. 4,948,856 issued to Minchak et al. (B.F.Goodrich) discloses preparing generally alternating copolymers by the use of vanadium catalysts which are soluble in the norbornene-type monomer and a co-catalyst which may be any alkyl aluminum halide or alkylalkoxy aluminum halide. European Patent Application No. 0 504 418 Al (Matsumoto et al.) discloses copolymerization of said monomers in the presence of catalysts such as transition metal compounds, including nickel compounds, and a compound which forms an ionic complex with the transition metal compound or a catalyst comprising said two compounds and an organoaluminum compound. More recently, metallocene catalysts were used to prepare copolymers of cycloolefins and α-olefins as disclosed in EP 283,164 (1987) issued to Mitsui Petrochemicals and EP 407,870 (1989), EP 485,893 (1990) and EP 503,422 (1991) issued to Hoechst AG. Most recently PCT published application W096/23010 discloses processes of polymerizing ethylene, α-olefins and/or selected cyclic olefins which are catalyzed by selected transition metal compounds, including nickel complexes of diimine ligands, and sometimes also a cocatalyst. This disclosure provides, however, that when norbornene or a substituted norbornene is used, no other olefin can be present.

SUMM It is a general object of the invention to provide a novel method of preparing amorphous copolymers of ethylene and at least one norbornene (NB)-type comonomer. These polymers may be random or alternating depending on the choice of catalyst and/or the relative ratio of the monomers used. This method comprises polymerizing said monomers in a diluent or in bulk in the presence of a neutral nickel catalyst which may be represented by the formula #\$TRZ## wherein Y may be a saturated or unsaturated hydrocarbyl chain, X may be oxygen or sulfur, E may be phosphorus, arsenic, antimony, oxygen or nitrogen, R and R' independently each is hydrogen or a hydrocarbyl group, L is a ligand containing a heteroatom P, N or O or L and R together with L may form part of a chelating structure in which case L is an ethylenic double bond.

This invention is directed to a new method of preparing substantially amorphous copolymers of ethylene and one or more norbornene (NB)-type comonomers. The resulting copolymers may be alternating or random, depending on the relative proportion of each type of monomer used. This method comprises copolymerizing said monomers in the presence of a catalyst which is a neutral nickel compound bearing a bidentate ligand which chelates the nickel via two hetero-atoms (which may be the same or different) and a hydrocarbyl group (R) or a hydride.

- SUMM Catalysts of formula I where E is phosphorus and X is oxygen have been found to preferably yield essentially alternating copolymers of norbornene and ethylene. Nevertheless at extremely high ratio of either one monomer over the other deviations from this alternating compositions are observed. When substituted norbornenes are employed, higher concentrations of the norbornenes are required to obtain the alternating compositions.
- SUMM The instant method is unique in that it makes it possible to prepare copolymers of ethylene with NB-type monomers containing functional substituents such as esters, ethers or silyl groups as disclosed below in greater detail. The catalysts employed in the prior art in the polymerization of cyclic olefins were deactivated if such monomers contained functional substituents.
- SUMM Illustrative examples of suitable monomers include 2-norbornene, 5-butyl-2-norbornene, 5-methyl-2-norbornene, 5-hexyl-2-norbornene, 5-decyl-2-norbornene, 5-phenyl-2-norbornene, 5-naphthyl-2-norbornene 5-ethylidene-2-norbornene, vinylnorbornene, dicyclopentadiene, dihydrodicyclopentadiene, tetracyclododecene, methyltetracyclododecene, tetracyclododecadiene, dimethyltetracyclododecene, ethyltetracyclododecene, ethylidenyl tetracyclododecene, phenyltetracyclododecene, trimers of cyclopentadiene (e.g., symmetrical and asymmetrical trimers), 5-hydroxy-2-norbornene, 5-hydroxymethy1-2-norbornene, 5-methoxy-2-norbornene, 5-t-butoxycarbonyl-2-norbornene, 5-methoxy-carbonyl-2-norbornene, 5-carboxy-2-norbornene, 5-carboxymethyl-2-norbornene, decanoic acid ester of 5-norbornene-2-methanol, octanoic acid ester of 5-norbornene-2-methanol, n-butyric acid ester of 5-norbornene-2-methanol, 5-triethoxysilyl-norbornene, 5-trichlorosilyl-norbornene, 5-trimethylsilyl norbornene, 5-chlorodimethylsilyl norbornene, 5-trimethoxysilyl norbornene, 5-methyldimethoxysilyl norbornene, and 5-dimethylmethoxy norbornene.
- DEID To a clean, dry 500 mL stainless steel reactor 20.0 g of 5-butylnorbornene (133 mmol) in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature, and was saturated with ethylene. To the reactor was added catalyst (0.0346 g, 0.067 mmol) in toluene (5 mL). The reactor was then pressurized to 300 psig with ethylene. The reaction was allowed to proceed 2 h at which time the ethylene pressure was vented, the reactor was lowered and the solution was poured into an excess of MeOH to precipitate the polymer which was subsequently filtered and dried in a vacuum oven (at 80°C.) overnight. Yield 10.5 g. M.sub.w = 65,520 and M.sub.n = 29,520. NMR spectroscopy confirmed the product to be a copolymer of ethylene and butylnorbornene.
- DEED To a clean, dry 500 mL stainless steel reactor
  5-triethoxysilyl-norbornene (50 mL, 191 mmol) in 100 mL of dry,
  deoxygenated toluene was added under nitrogen. The reactor temperature
  was allowed to remain at ambient temperature, and was saturated with
  ethylene. To the reactor was added catalyst C (0.11 g, 0.212 mmol) in
  toluene (7 mL). The reactor was then pressurized to 385 psig with
  ethylene. The reaction was allowed to proceed 2 h at which time the
  ethylene pressure was vented, the reactor was lowered and the solution
  was poured into an excess of MeOH to precipitate the polymer which was
  subsequently filtered and dried in a vacuum oven (at 25° C.)
  overnight. Yield 12.3 g. NMR spectroscopy confirmed the product to be a
  copolymer of ethylene and triethoxysilylnorbornene.

DETD To a clean, dry 500 mL stainless steel reactor 40.0 g (425 mmol) of norbornene in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature and the stirred solution was saturated with ethylene. Bis(cycloctadiene)nickel(0) (0.244 g, 0.88 mmol) in toluene (4 mL) was then added to the reactor, followed by hexafluoroacetylacetone (0.14 mL, 1.0 mmol). The reactor was then pressurized to 250 psig with ethylene. The reaction was allowed to proceed 1 h at which time the ethylene pressure was vented, the reactor was lowered and the solution was added to an excess of MeOH. The copolymer product precipitated from solution and was filtered and washed with excess methanol. After drying to constant weight (overnight in a vacuum oven at 80° C.) the vield of copolymer amounted to 6.5 grams. Proton NMR confirmed the product to be a copolymer of ethylene and norbornene and the GPC data revealed the Mw to be 15,200 and the Mn 9,500. The glass transition temperature was determined to be 210° C. by DSC. DETD

To a clean, dry 500 mL stainless steel reactor 40.0 g (425 mmol) of norbornene in 150 mL of dry, deoxygenated toluene was added under nitrogen. The reactor temperature was allowed to remain at ambient temperature and the stirred solution was saturated with ethylene. Bis(cycloctadiene)nickel(0) (0.244 g, 0.88 mmol) in toluene (4 mL) and hexafluoroacetylacetone (0.14 mL, 1.0 mmol) were premixed at ambient temperature for 10 minutes and then added to the reactor. The reactor was then pressurized to 400 psig with ethylene. The reaction was allowed to proceed 1 hour at which time the ethylene pressure was vented, the reactor was lowered and the solution was added to an excess of MeOH. The copolymer product precipitated from solution and was filtered and washed with excess methanol. After drying to constant weight (overnight in a vacuum oven at 80° C.) the yield of copolymer amounted to 2.14 grams. Proton NMR confirmed the product to be a copolymer of ethylene and norbornene (77 mole percent norbornene and 23 mole percent ethylene) and the GPC data revealed the Mw to. be 15,700 and the Mn 6,500. The glass transition temperature was determined to be 192° C. by DSC.

DETD In a dry 50 mL flask 0.2569 q. (0.935 mmol) of bis(cyclooctadiene)nickel and 9 mL of dry, deoxygenated toluene were introduced under argon and 0.160 g. (0.905 mmol) of N-isobutylsalicylaldimine in 9 mL of toluene was gradually added from dropping funnel. Red-brown solution was formed. After 6 h an abundant pale brown precipitated Toluene was distilled off and a part of precipitate was dissolved in 43 mL of hexane. Greenish solution obtained contained .about.0.01 mmol Ni/mL. 8 mL of this solution and 2 g. (21.3 mmol) of norbornene [NB/Ni=266] in 2 mL of hexane were introduced in a reactor and the latter was pressurized to 3.7 atm. (53 psig) with ethylene. Then the reactor was placed in a thermostat at 80° C. for 4 h. After this was cooled, the ethylene pressure was vented and the solution was poured into excess of methanol to precipitate the polymer. The latter was filtered and dried in vacuum overnight. The yield of the copolymer was 0.16 g. and proton NMR revealed that the copolymer contained 56 mole percent of norbornene and 44 mole percent of ethylene units. DSC revealed the copolymer to exhibit two glass transition points at 135 and 183° €.

CLM What is claimed is:

1. A method of preparing a substantially amorphous copolymer from ethylene and at least one norbornene-type monomer having the structure #\$STR24\$# wherein R.sup.1 to R.sup.4 independently represents hydrogen, linear or branched (C.sub.1) -C.sub.10) alkyl, aromatic or saturated or unsaturated cyclic groups; a functional substituent selected from the group consisting of --(CH.sub.2).sub.n --C(0)OR, --(CH.sub.2).sub.n --OR, --(CH.sub.2).sub.n --OC(0)R,

-- (CH.sub.2).sub.n -- C(O)R and -- (CH.sub.2).sub.n -- OC(O)OR, -- (CH.sub.2).sub.n C(R).sub.2 CH(R)(C(O)OR), -- (CH.sub.2).sub.n C(R).sub.2 CH(C(O)OR).sub.2, wherein R represents hydrogen, or linear and branched (C.sub.1 to C.sub.10) alkyl; or a silyl substituent represented as follows: ##STR25## wherein R.sup.5 independently represents hydrogen, methyl, or ethyl, R.sup.6, R.sup.7, and R.sup.8 independently represent halogen selected from bromine, chlorine, fluorine, and iodine, linear or branched (C.sub.1 to C.sub.20) alkyl, linear or branched (C.sub.1 to C.sub.20) alkoxy, linear or branched (C.sub.1 to C.sub.20) alkyl carbonyloxy, linear or branched (C.sub.1 to C.sub.20) alkyl peroxy, substituted or unsubstituted (C.sub.6 to C.sub.20) aryloxy; any of R.sup.1 and R.sup.2 or R.sup.3 and R.sup.4 when taken together can form a (C.sub.1 to C.sub.10) alkylidenyl group; m is an integer from 0 to 5; n is an integer from 0 to 10; R.sup.1 and R.sup.4, taken together with the two ring carbon atoms to which they are attached, represent a saturated cyclic group of 4 to 8 carbon atoms, wherein said cyclic group can be substituted by at least one of R.sup.2 and R.sup.3; said method comprising polymerizing said monomers in the presence of a neutral nickel catalyst represented by the formula ##STR26## wherein Y is a saturated or unsaturated hydrocarbyl chain containing 1 to 3 carbon atoms where two adjoining carbon atoms may form part of a cyclic structure X is O or S, E is P, As, Sb, N or O, R and R' independently is H or C.sub.1-20 hydrocarbyl, n is 0, 1 or 2, and L is a ligand bearing the heteroatom P, N or O or alternatively R and L together form part of a chelating structure.

#### CLM What is claimed is:

14. A method of preparing a substantially amorphous copolymer from ethylene and at least one norbornene-type monomer having the structure ##STR38## wherein R.sup.1 to R.sup.4 independently is a functional substituent selected from the group consisting of --(CH.sub.2).sub.n --C(O)OR, --(CH.sub.2).sub.n --OR, --(CH.sub.2).sub.n --OC(O)R, --(CH.sub.2).sub.n --C(O)R and --(CH.sub.2).sub.n --OC(O)OR, -- (CH.sub.2).sub.n C(R).sub.2 CH(R)(C(O)OR), -- (CH.sub.2).sub.n C(R).sub.2 CH(C(O)OR).sub.2, wherein R represents hydrogen, or linear and branched (C.sub.1 to C.sub.10) alkyl; or a silyl substituent represented as follows: ##STR39## wherein R.sup.5 independently represents hydrogen, methyl, or ethyl, R.sup.6, R.sup.7, and R.sup.8 independently represent halogen selected from bromine, chlorine, fluorine, and iodine, linear or branched (C.sub.1 to C.sub.20) alkyl, linear or branched (C.sub.1 to C.sub.20) alkoxy, linear or branched (C.sub.1 to C.sub.20) alkyl carbonyloxy, linear or branched (C.sub.1 to C.sub.20) alkyl peroxy, substituted or unsubstituted (C.sub.6 to C.sub.20) aryloxy; any of R.sup.1 and R.sup.2 or R.sup.3 and R.sup.4 when taken together can form a (C.sub.1 to C.sub.10) alkylidenyl group; m is an integer from 0 to 5; n is an integer from 0 to 10; R.sup.1 and R.sup.4, taken together with the two ring carbon atoms to which they are attached, represent a saturated cyclic group of 4 to 8 carbon atoms, wherein said cyclic group can be substituted by at least one of R.sup.2 and R.sup.3; said method comprising polymerizing said monomers in the presence of a neutral nickel catalyst represented by the formula ##STR40## wherein Y is a saturated or unsaturated hydrocarbyl chain containing 1 to 3 carbon atoms where two adjoining carbon atoms may form part of a cyclic structure X is O or S, E is P, As, Sb, N or O, R and R' independently is H or C.sub.1-20 hydrocarbyl, n is 0, 1 or 2, and L is a ligand bearing the heteroatom P, N or O or alternatively R and L together form part of a chelating structure in which case L can be a C.dbd.C double bond.

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27 S L6 AND L9

FILE 'INPADOCDB, CAPLUS' ENTERED AT 16:10:26 ON 12 DEC 2008 L1 1 S WO 1999/014635/PN

L2 2 S EP 1021750/PN

FILE 'STNGUIDE' ENTERED AT 16:12:44 ON 12 DEC 2008

FILE 'USPATFULL, USPATOLD, USPAT2, CAPLUS, JAPIO' ENTERED AT 16:16:33 ON 12 DEC 2008

L3 0 S TRIMETHYLSILYL!!!!!!!!TETRACYCLO!!!!!!CARBOXYLATE
L4 0 S TRIMETHYLSILYL!!!!!!!!TETRACYCLO!!!!! CARBOXYLATE

L5 66 S TRIMETHYLSILYL(1W)NORBORNEN?

L6 329756 S (ETHYLENE OR ETHENE) (4A) (COPOLYMER# OR TERPOLYMER#)
L7 9 S L5 AND L6

L8 3 S (SILYL?(3A)CARBOXYLATE#)(4A)(NORBORNEN? OR BICYCLO[2.2.1]HEPT
L9 92 S (TRIMETHOXYSILYL? OR TRIALKOXYSILYL? OR ALKOXYSILYL?)(2A)NORB

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L10

FILE 'STNGUIDE' ENTERED AT 16:36:33 ON 12 DEC 2008

FILE 'USPATFULL, USPATOLD, USPAT2, CAPLUS, JAPIO' ENTERED AT 16:40:34 ON 12 DEC 2008

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